

COMMISSION ON ACCREDITATION FOR CORRECTIONS
STANDARDS COMPLIANCE REACCREDITATION AUDIT

Arkansas Department of Correction
North Central Unit
Calico Rock, Arkansas

April 11-13, 2023

VISITING COMMITTEE MEMBERS

Laura C. Tafoya, Chairperson
ACA Auditor

Carol Thomas
ACA Auditor

Amy Fairbanks
ACA Auditor

A. Introduction

The reaccreditation audit of the Arkansas Department of Correction - North Central Unit in Calico Rock, Arkansas was conducted April 11-13, 2023, by the following visiting committee, also referred to as the audit team: Laura C. Tafoya, Chairperson; Carol Thomas, Member; and Amy Fairbanks, Healthcare Member. The audit was conducted using the Adult Correctional Institutions (ACI) 5th Edition.

The North Central Unit’s (NCU) audit cycle was during the state of the worldwide COVID-19 pandemic. All the practices and programs listed below were affected to some degree, substantially, or minimally. Visiting was suspended in 2020 and telephone and video visitation prices were reduced to mitigate the circumstances. June 2021 modified in-person visitation was implemented as much as the virus allowed. February 14, 2022, essential movement restrictions were lifted and March 1 visitation and furloughs resumed.

B. Facility Demographics

Rated Capacity:	800 (plus 59 RHU beds)
Actual Population (4/11/23):	832
Average Daily Population for the last 12 months:	812
Average Length of Stay:	1 year, 10 months
Security Level:	Medium
Custody Levels:	Maximum/Medium/Minimum
Age Range of Inmates:	18 - 82
Gender:	Male
Full-Time Staff (funded):	197
•Administrative	17
•Support:	5
•Program:	2
•Security:	173
•Other:	7
Staff Vacancies (4/11/23):	12
Medical Staff (Contract):	30
Inst. Parole Officer (Community Correction)	1

C. Facility Description

The North Central Unit (NCU) is located four miles North of Calico Rock, Arkansas off Highway 5 in Western Izard County. Izard County’s population is approximately 13,577. NCU’s physical address is 10 Prison Circle, Calico Rock, Arkansas. The facility is approximately 125 miles or 2.5 hours from the Little Rock Airport. North Central Unit operates under the Arkansas Department of Correction (ADC). ADC’s Central Office is located in Pine Bluff, Arkansas which is 175 miles from NCU. The current capacity was 800 general population beds and 59 beds dedicated to restricted housing.

NCU is a medium security facility housing equal numbers of maximum, medium, and minimum custody adult male inmates.

All ADC inmates are placed in a class status that is commensurate with custody considerations and programmatic goals, establishing criteria for class promotion, reclassification, and/or restoration of good time. All inmates are placed in Class II when they arrive at ADC; it is up to them to earn Class I status, and their class can be reduced because of disciplinary problems.

It is the Mission of the Arkansas Department of Correction to “provide public safety by carrying out the mandate of the courts, provide a safe humane environment for staff and inmates, strengthen the work ethic through teaching of good habits, and provide opportunities for staff and inmates to improve spiritually, mentally, and physically.”

During the visit, the North Central Unit was authorized to employ up to 197 full-time staff and 30 contracted WellPath staff. One Arkansas Community Correction Division institutional parole officer was also assigned to NCU to coordinate all scheduling with the parole board. During the visit, a total of 12 staff vacancies were reported (one mental health advisor and 11 corporal security). NCU is the largest employer in the Calico Rock area; therefore, they do not have a problem filling their vacancies or retaining staff. The reported 18-month average vacancy rate from July 2021 through December 2022 was 5.72%.

Background checks are complete on all employees, contractors, and volunteers. Non-security staff receive initial training at the unit. Correctional staff is required to complete a 6 week/240-hour pre-service training at the ADC Training Academy in England, Arkansas; weeks two and five are spent at the unit of assignment, with an additional 80 hours post academy training with a recruiter/retention officer at the facility of assignment. Field training officers are available to new officers for mentoring. All firearms training is conducted at the facility of assignment and the NCU range is located onsite. All staff attend annual training at their facility with up to 30 hours e-learning and ten hours class time in the visiting room. Training for volunteers falls under the chaplain.

The North Central Unit consists of 16 buildings on over 700 acres of land; ten acres are contained within the perimeter fence. Thirteen outside buildings include: 1) pedestrian entrance building, 2) outside maintenance shop/ICC mechanical garage, 3) utility tool storage, 4) armory/lock shop, 5) horse barn, 6) dog kennel, 7) WWTP, 8) pump house, 9) sally port (vehicle/back entrance building), and 10) 4 towers.

A Vo-Tech storage room, Vo-Tech greenhouse, and main support building is located inside the secure perimeter fence. The main support building houses offices, support services, programs, and attached housing units all under one roof. The main corridor was designed to be able to lockdown in case of an emergency, with two security grills located at both the south and north ends of the hall. Inmates are required to walk single file on the right side of the hall, to the right of the painted yellow line.

General population housing is provided in fourteen (14) two-tier dormitories identified as Barracks 1-14. All Barracks are located off of the main corridor. Each barracks houses 55 or 60 inmates with a total general population bed capacity of 800. Eight housing control centers are located at the front of the barracks/dorms with a window looking into the dorm(s); six have a view into two dorms. Dormitory housing provides single beds on the upper and lower tier; communal toilets, sinks, two showers in the dorms, and 18 additional gang showers near the laundry. Dayrooms are immediately adjacent to dorms. In addition to housing, there are areas for indoor and outdoor recreation, education, Career Technical Education, religious services, library, kitchen and dining room, laundry, commissary, mailroom, barbershop, property, contact and non-contact visiting, and an eight bed 24-hour medical infirmary with one isolation room.

North Central Unit – Housing Scheme		
Housing Areas	Custody Level	Number beds
Barracks 1 Dorm – 55 beds (Think Legacy Program)		
Upper Tier	Min/Med Custody	25 single beds
Lower Tier	Min/Med Custody	30 single beds
Barracks 2 Dorm – 55 beds (Paws in Prison Program)		
Upper Tier	Min/Med Custody	25 single beds
Lower Tier	Min/Med Custody	30 single beds
Barracks 3 Dorm – 55 beds		
Upper Tier	Min/Med Custody	25 single beds
Lower Tier	Min/Med Custody	30 single beds
Barracks 4 Dorm – 60 beds (PALS Program)		
Upper Tier	Min/Med Custody	30 single beds
Lower Tier	Min/Med Custody	30 single beds
Barracks 5 Dorm – 60 beds		
Upper Tier	Min/Med Custody	30 single beds
Lower Tier	Min/Med Custody	30 single beds
Barracks 6 Dorm – 60 beds		
Upper Tier	Min/Med Custody	30 single beds
Lower Tier	Min/Med Custody	30 single beds
Barracks 7 Dorm – 55 beds		
Upper Tier	Min/Med Custody	25 single beds
Lower Tier	Min/Med Custody	30 single beds
Barracks 8 Dorm – 55 beds		
Upper Tier	Min/Med Custody	25 single beds
Lower Tier	Min/Med Custody	30 single beds
Barracks 9 Dorm – 60 beds		
Upper Tier	Min/Med Custody	30 single beds
Lower Tier	Min/Med Custody	30 single beds
Barracks 10 Dorm – 60 beds		
Upper Tier	Min/Med Custody	30 single beds
Lower Tier	Min/Med Custody	30 single beds
Barracks 11 Dorm - 60 beds		

Upper Tier	Min/Med Custody	30 single beds
Lower Tier	Min/Med Custody	30 single beds
Barracks 12 Dorm – 55 beds		
Upper Tier	Min/Med Custody	25 single beds
Lower Tier	Min/Med Custody	30 single beds
Barracks 13 Dorm – 55 beds		
Upper Tier	Min/Med Custody	25 single beds
Lower Tier	Min/Med Custody	30 single beds
Barracks 14 Dorm – 55 beds		
Upper Tier	Min/Med Custody	25 single beds
Lower Tier	Min/Med Custody	30 single beds
Restricted Housing Unit – 59 beds		
North Hall, Rooms 1-15	Max Custody	1 single cell (S. Hall)
South Hall, Rooms 16-30	Max Custody	58 beds (29 cells/double bunks)
Medical Infirmary – *8 beds		
2 wards/8 beds (1 isolation room, 2 wards/4 beds in each)		
Total: 859 Beds (*8 infirmary beds not included)		

The North Central Unit is surrounded by natural grass, hills, and trees. The facility’s grounds were landscaped with grass, flowers, and shrubs. The parking lot and perimeter road contain base course and gravel. The parking lot was clean and there were no trees or bushes to obscure visibility on the sides or the front entrance area and there was a clear view from front entry to the outside without any visual barriers. Sanitation throughout the facility was found to be exceptional. It was apparent that staff and inmates had been informed of the audit and prepared for the visit. All levels of staff displayed professionalism and they were knowledgeable of their assigned duties regardless of their tenure in the position. Staff were observed interacting with inmates in a professional manner. There was positive interaction among executive staff, line staff, and inmates.

D. Pre-Audit Meeting

The visiting committee met for dinner on April 10, 2023, in Mountain Home, Arkansas with executive staff to discuss the information provided by the Association staff and the officials from the North Central Unit. Accreditation Specialist Linda Williams provided the audit team with a welcome book which contained a lot of helpful information regarding the facility’s operation.

The chairperson divided standards into the following groups:

- Standards # 1A-01 to 2F-03 Laura C. Tafoya, Chairperson
- Standards # 2G-01 to 5D-15 Carol Thomas, Member
- Standards # 5E-01 to 7F-08 Amy Fairbanks, Healthcare Member

E. The Audit Process

1. Transportation

The team was escorted from Mountain Home to Calico Rock, Arkansas each day by Shelly Lawrence, ADC Internal Auditor/Agency Accreditation Manager.

2. Entrance Interview

The visiting committee arrived at the North Central Unit at 7:55 a.m., checked in, cleared at the front entrance, and proceeded to the warden's office. The team met with Warden Michelle Gray and expressed the appreciation of the Association for the opportunity to be involved with the North Central Unit in the accreditation process. Warden Gray stated there were no requests from the public, staff, or inmates to visit with the visiting committee as a result of the audit posting; there were no lawsuits during the audit cycle that had an adverse judgment against the facility; and there were no current issues that would jeopardize the accreditation status.

Warden Gray escorted the visiting committee to the inmate visiting room where the formal entry meeting was held at 8:40 a.m.

The following persons were in attendance:

Michelle Gray	Warden
Steven Ricketts	Deputy Warden
Lt. Byron Brown	DOC Fire Safety Coordinator, DOC Central Office
Candice Seay	Administrative Assistant III
Lt. Steven Lively	Dog Kennel Supervisor
Sgt. Jared Dover	Armory Officer
Sgt. Kyle Moody	Dog Kennel
Michael Smith	Human Resources Manager
Marjorie Hall Parrott	Health Services Administrator
William Straughn	Deputy Director Institutions, DOC Central Office
Melissa Moore	ADC Medical Administrator, DOC Central Office
Michael Stewart	School Principal
Captain Alex Bentley	Food Production Manager
Captain Bruce Sanders	Field Captain
Sgt. Jacqueline Peterman	Field Sergeant
Captain David Foster	Building Captain
Cpl. Kevin Sherrill	Key Control Officer
Jason Kelley	WellPath Regional Manager, DOC Central Office
Kim Rosenthal	WellPath Director of Operations, DOC Central Office

Alan Rogers	Rehab Program Manager, Grimes, McPherson, and NCU
Zebulun Norris	Mental Health Advisor
Darren Waddles	Commissary Manager
Brian Drost	Maintenance/Construction, DOC Construction
Captain Christopher Brandon	Building Captain
Ronald Riley	Director of Maintenance
Sgt. Chris Yancey	Transportation
Major Aaron Rogers	Chief of Security
Mike Barger	Unit Grievance Coordinator
Sgt. Jeremy Cottrell	Unit Fire Safety Officer
Joyce McCown	Denominational Chaplain
Patrick McCown	Unit Chaplain
Donna Frentz,	Records Supervisor
Michelle Avery	Mailroom Supervisor
Linda Williams	Unit Accreditation Specialist
Daniel Wantulok	IT, McPherson
Shelly Lawrence	Agency ACA Manager, DOC Central Office

Warden Gray welcomed the visiting committee and Chaplain Patrick McCown began with a prayer. The team expressed the appreciation of the Association for the opportunity to be involved with the North Central Unit in the accreditation process. The visiting committee introduced themselves and shared their background and correctional experience with the staff. It was explained that the goal of the audit team was to be as helpful and non-intrusive as possible during the conduct of the audit. The audit schedule was also discussed at this time. The warden was advised that the visiting committee would meet with her at the end of each day to discuss the progress of the audit. The visiting committee was aware that staff had worked long and hard in preparation of the audit and team members would visit as many departments and areas as possible and meet and speak with as many staff and inmates as possible. The chairperson emphasized the goals of accreditation toward the efficiency and effectiveness of correctional systems throughout the United States. Attending staff then introduced themselves.

3. Facility Tour

The visiting committee toured the facility and outside buildings; checked keys, tools, chemicals, and inventories in all areas; and spoke with staff and inmates from 9:20 a.m. to 12:00 p.m. The posting of audit announcements was displayed for inmates, staff, and visitors. The following persons accompanied the visiting committee on the tour and responded to the team's questions concerning facility operations:

Michelle Gray	Warden
Steven Ricketts	Deputy Warden

Shelly Lawrence	ADC Internal Auditor/Agency Accreditation Manager
Linda Williams	NCU Accreditation Specialist
Jeremy Cottrell	Sergeant/Fire Safety Officer
Aaron Rogers	Major/Chief of Security
Byron Brown	Lieutenant
Christopher Brandon	Captain
David Foster	Captain
Michael Smith	Human Resource Coordinator/Scribe
Jacquelyn Peterman	Sergeant/Scribe
Darren Waddles	Commissary Manager/Scribe

4. Conditions of Confinement/Quality of Life

During the tour, the visiting committee evaluated the conditions of confinement at the facility. The following narrative description of the relevant programmatic services and functional areas summarizes the findings regarding the quality of life.

Security:

The North Central Unit was authorized 173 full-time security staff positions primarily responsible for the facility's security and services functions. These positions include one major, three captains, nine lieutenants, 41 sergeants, and 114 corporals assigned to two 12-hour shifts and one 8-hour administrative shift. Five food service positions fall under the security category. There were 11 security vacancies reported during the visit. Corporals starting pay is \$19.0846 an hour and they receive an additional 6% hazardous duty pay once at the academy (\$20.22). Security staff work up to 86 hours a pay period without overtime. Officers are required to attend a 15-minute shift briefing prior to their assigned shift. A lunch break is not provided, but officers can eat lunch at their assigned post. As an added benefit, officers can pay \$5.00 a month for a haircut, shoeshine, and a car wash; funds go into the employee corporation fund.

All staff have access to 2-way portable radios and telephones for communication. Those responsible for security functions checkout equipment pertinent to their assigned post which might include a radio, keys, restraints, OC spray, and a handheld metal detector wand. Thirty-one panic alarms were located throughout the facility (i.e., infirmary, school, mailroom, chapel, commissary, mental health, front entrance, administration, etc.). Three transport vans were available for armed transport officers. Two buses are utilized for work squads. Armed field force riders are assigned to supervise outside details. Post Orders were in place at security posts; permanent assigned officers are required to review and sign their post orders monthly and all other officers review and sign them daily. Housing unit logs were in place and movement and activities were documented.

The North Central Unit perimeter is surrounded by a $\frac{3}{4}$ of a mile gravel perimeter road and two 12-foot-high double fences mounted in concrete. The exterior fence is topped with six rows of coiled razor wire and the interior fence is topped with one row. Within the double fences is a Senstar sensor alarm system split within 10 zones and two motion activated microwave systems under the north and south towers that send an alert to master control if activated. There are two openings through the perimeter fence: one pedestrian front entrance gate controlled by the east tower officer and one vehicular sally port on the westside of the facility. The interior sally port gate is controlled by the west tower officer and the exterior gate is controlled by a security staff member who conducts a thorough search of all vehicles entering and exiting. Back gate security includes razor wire on the top and bottom of the gates and vertical strands in fencing corners, a camera, undercarriage mirror, and a handheld metal detector wand. A supervisor is required to walk the perimeter line daily on both shifts. A weapons locker is not provided; law enforcement officers are required to secure their weapons in their vehicle.

There are four towers outside the perimeter which are staffed 24/7. The towers are armed with a permanently assigned AR-15 rifle. The east tower is located at the front entry where both inmate recreation yards and housing units are in clear sight. The west tower is located at the rear gate where there is a good line of sight on inmates and visitors coming through the rear gate, the warehouse, maintenance area, both inmate recreational yards, the back dock of the facility, the horticulture building, and the armory. The north tower faces the wastewater plant and dog kennels with a clear line of sight. The south tower faces the horse barn and the area where the inmate detail keeps the horses. The towers are manned by one officer who rotates every six hours.

An armed mobile patrol post is staffed from 6:30 p.m. to 6:30 a.m. and during inclement weather, when a zone goes down, or there is a breach in the fence. The perimeter is illuminated during the hours of darkness by 28 area pole lights and 57 flood lights on utility poles located along the perimeter at equal distances. Buildings are equipped with exterior security lighting that works in tandem with the perimeter lights to enhance security. There were 41 fixed cameras around the perimeter fence area and 179 digital interior cameras located throughout the facility for a total of 220. One of the two control center officers monitors all security cameras and opens gates; recordings are retained for 45 days for non-serious incidents and seven years for serious incidents. All cameras were working during the visit.

All staff and visitors must check-in at the front entrance building, which is staffed by one officer 24/7. Everyone must present an authorized form of identification, sign-in, remove shoes and clear a walk-through metal detector. A handheld metal detector wand was also available for front entry staff use, if needed. Everyone is subject to a pat search and all personal belongings are sent through an x-ray machine. Only approved cell phones are allowed in the facility.

One male and one female correctional officer are assigned to work front entry during high peak hours and visitation.

Lockers, restrooms, and vending machines were available for staff and visitors. Once cleared, the east tower officer opens a secure pedestrian gate and individuals proceed down the walkway to the main building entrance. A camera is located near this gate for a visual of those requesting entry.

The 24-hour master control center is located just past administrative offices at the entrance into the main corridor. The control center was staffed with two officers. The back officer monitors all security cameras, sensor alarm and microwave system, and controls electronic doors and riot gates. The front officer controls movement in and out of the main corridor and has a clear view of inmate dining and the long corridor. The officer does not verify photo ID cards prior to allowing access into the main corridor; for security purposes it is recommended that all ID's be checked. The control center officer controls and issues handheld radios, emergency/restricted/non-restricted keys, restraints, handcuffs, flexi-cuffs, waist and leg chains, emergency equipment, and crowd management OC gas, and monitors internal radio transmissions and the fire alarm annunciator panel. Additional housing control centers are located in or immediately adjacent to the inmate living areas, which allows officers to hear and interact with the inmates and promptly respond to emergency situations.

Inmate movement is controlled by security staff using a Weekly Activity Schedule and announcements by control center staff via the unit radio, under the direction of the shift supervisor. Eight formal on premises counts are conducted at 2:00 a.m., 4:00 a.m., 6:30 a.m., 9:00 a.m., 1:30 p.m., 5:30 p.m., 8:00 p.m., 10:30 p.m., and inmates who are assigned to work squads and gate pass inmates are counted at the same time as formal counts. Informal counts are conducted at the discretion of the shift supervisor, with at least one on each shift.

Staff perform scheduled and unscheduled searches of living units, common areas, and outside grounds. Pat searches of inmates are conducted throughout the day on a random basis. Inmates returning to their cells from work assignments, food service, maintenance, and recreation areas are also subject to pat searches and successful passage through metal detectors. Strip searches are conducted by officers of the same gender whenever an inmate leaves or returns to the facility and when there is a reasonable suspicion of contraband being withheld. There was a three staff canine unit that trains and deploys six blood hound scent tracking dogs for use in locating missing persons. The facility utilizes contraband canine dogs from ADC Central Office or local law enforcement, if needed. Nine walk-through metal detectors are located at the entrance building, infirmary, laundry corridor, sally port, school, 2 at zone 1 hall, and 2 at zone 2 hall; and handheld metal detector wands were available throughout the unit. Random and suspicion Reditest drug testing is conducted on the inmate population and employees.

The armory is in a separate building outside the perimeter fence, adjacent to the vehicle sally port and west tower. The brick enclosed, double reinforced building is surrounded by a locked fence and has inner and outer locking doors.

There is a camera located outside of the armory where officers unload their weapons. There is also a camera located inside the weapons room. Access to the armory is strictly controlled and limited to the warden, deputy warden, major, field captain, emergency preparedness coordinator, and the armory officer. Entry and exit logs and weapon and equipment logs were present. The armory building provides separate storage rooms for chemicals, ammunition, weapons, and the lock shop.

The armory inventory consists of 59-Glock 40 caliber handguns, 14-Colt AR-15 semi-automatic rifles, 22-Remington 870 shot guns, buck shots, slugs, 223 ammunition, O.C. spray, less lethal munitions, less lethal shotguns, Glocks - Model 22, two baby Glocks for the dog kennel, and a cabinet that secures emergency escape bags. An emergency transport box is in the entrance vestibule for easy access. A loading and unloading barrel were in place inside and outside the armory. The firing range is located on NCU's grounds; officers certify annually in daytime fire. Staff are required to qualify with OC every two years. Weapons are cleaned in the main armory. Everything in the armory was well organized and labeled for easy identification and access. Inventories and inspections were maintained for all items. An SDS book, personal protective equipment, and eyewash station were all present. The cleanliness of that area was very impressive.

NCU maintains a 16-man Emergency Response Team (ERT). During the visit there were 14 assigned members; all team members receive monthly specialized training. The ERT room stores ready bags with equipment, munitions and weapons, and larger cans of chemical gas.

The lock shop is located inside the armory building. A corporal was assigned to key control and was a trained locksmith. The corporal is responsible for the inventory, replacement, and when necessary, destruction of keys. Keys were stored in the lock shop, master control center, east tower, west tower, infirmary, and restrictive housing. All key blanks and replacement keys, as well as a key cutting machine were located in the lock shop. Non-restricted, restricted, and emergency keys are maintained and issued from master control center. Key sets were color coded. The red cables are emergency keys; a shift supervisor or above must give permission for these keys to be pulled. The yellow cables are the restricted keys; these keys are for administrative and other offices. The blue cables are the non-restricted keys, these keys access visitation, shakedown, and school. A large number of keys were identified as KOP take home keys. Cellblock housing requires key entry; a key to lock test is conducted monthly. Field Riders (mounted officers who guard the outside details) are issued handcuff keys. Each inmate is issued a bed lock and they keep the key in their possession. In addition, inmates who are participants in the Hobby Craft Program possess a key to their hobby box. All inmates must sign an acknowledgment form indicating that they received a key and are responsible for it. Loss of a key by an inmate result in a fee to replace it. Staff keys were randomly checked throughout the facility by the visiting committee; key chits identified the number of keys on the tamper proof keyring.

Tools were assigned to areas such as maintenance, medical department, food service, hobby craft, etc. Tool rooms, cages, and carts were randomly checked throughout the facility by the visiting committee and were found to be neatly shadowed, inventoried, and checked in and out in accordance with written policies. High risk tools are identified as “sensitive” and these tools were stored in a locked cage area which was restricted to staff members only; ladders were chained for added security. Less dangerous tools are identified as “non-sensitive” and were identified and stored on a shadow board. Kitchen knives, paddles, and can openers were tethered while in use. There were appropriate procedures in place for destroying broken tools and replacing them.

One assigned security threat group (STG) coordinator ensures the safety of staff and inmates is met by identifying, monitoring, and housing STG members in a safe and secure fashion. As gang members are identified, they and any incidents are tracked. As part of monitoring, the officer works with mailroom staff and monitors telephone conversations. There were 15 documented gangs which includes 4 dub-set gangs and 284 identified gang members reported during the visit.

NCU has not completed a PREA audit; however, PREA signs were posted throughout the facility and information is provided in orientation and the Inmate Handbook, which provides information and a phone number for reporting sexual assaults. A facility PREA Compliance Manager and ADOC PREA Coordinator are assigned to address PREA correspondence. The visiting committee observed females were not consistently being announced upon entry into the housing units, and housing showers and toilets did not provide any type of privacy.

Special Management/Restrictive Housing:

North Central Unit does not have a Special Management Unit; therefore, Standards 5-ACI-4A-01 through 4A-27 were non-applicable.

The Restrictive Housing Unit (RHU) is located across from the medical unit in a separate secure hall. An inmate that poses a direct threat to the safety of persons or a clear threat to the safe and secure operations of the facility can be placed in restrictive housing on administrative status pending trial on a criminal act, pending disciplinary court review, pending transfer to another unit, or pending investigation by unit staff or law enforcement. While this status may be in restrictive housing, it is a temporary status. Inmates requesting or requiring protection from other inmates for reasons of health or safety are housed in a protective custody status.

RHU is staffed with one sergeant and two roving correctional officers. A housing control center is at the front of RHU. The officers are required to conduct inmate welfare checks at least every 30 minutes. RHU houses up to 59 inmates within thirty (30) 80.63 square foot cells (one single cell and 29 double cell occupancy).

Two holding cages are located at the front entrance of the unit. Cells 1, 5, 26, and 30 contain cameras for monitoring.

Each key operated cell entry door is detention grade with no food port. Once the first door is open, you walk into a small vestibule with a skylight above, facing a full grill with a door to the cell. Each cell contains one cinderblock desk, one cinderblock base/bunk bed, and a combo steel toilet and sink fixture. RHU inmates on punitive status receive a mattress at 7:00 p.m. and they are removed each morning. Four out-of-cell showers, two indoor dayrooms, eight outdoor recreation modules, and a portable telephone are provided for RHU inmates. Documentation and interviews verified staff rounds and visits and the delivery of programs and services. During the visit, it was reported that 37 inmates were housed in RHU. An ADC Restrictive Housing Administrative Directive (2022-25) was in place for services and programs available to inmates, as well as Special Management Inmates Policy (NCU 10.02.0).

The Restrictive Housing Anger Management Treatment Program is a 12-week program designed to enable inmates to manage their anger and have positive interaction with staff members as well as other inmates. The program utilized the Aggression Replacement Training Program as the main focus of treatment and Thinking for Change as a supplement. The program challenges inmates to recognize and change thinking and behavior patterns that are affected by their behavior.

Environmental Conditions:

On March 11, 2022, Assistant Maintenance Supervisor Roger Woods conducted an Environmental Survey at NCU and reported air, light, and sound readings were within standard requirements. On April 28, 2022, Maintenance Director Ronald Riley conducted a noise test and reported day and night readings did not exceed 70 dBA. Both natural and artificial lighting is provided. The visiting committee did notice that light levels appeared low in Restrictive Housing, the design does not include a window to the outside. Toilet/urinal, shower, and washbasin ratios all met the 2012 International Building Code. The hot water in showers and washbasins felt very warm to the touch. Most barracks provide one shower on the upper tier and one on the lower; in addition, 18 gang style showers are provided at central shower next to the dining hall (three poles/six shower heads on each).

Each barracks provides single beds in the living area and unencumbered living space ranges from 33.73 to 49.22 square feet per inmate. An attached storage space is provided under each bed for personal items.

Dayroom space is located immediately adjacent to living areas for indoor leisure time activities and provides between 35.02 to 38.61 square feet per inmate; there is a limit as to the number of inmates that can use the dayroom space at one time.

Dayrooms provide metal tables with built-in stools; benches; televisions (headphones must be used); two telephones; hot water; water fountain; and a Kiosk that offers apps for video visit, sick call, e-mail, commissary, and job search. Board games can be purchased through the commissary or checked out from the coach. Housing furnishings throughout the facility were in good condition.

NCU inmates have access to a Tablet Program that provides a variety of applications through Securus (i.e., phone calls, eMessaging, games, music, college courses, eBooks, movies, religion, job search, etc.). It was reported that tablets were very helpful during the COVID pandemic.

Inmates are issued toilet paper, a bar of soap, and razors weekly during hygiene issuance; additional hygiene items can be purchased through the commissary. In addition to telephone calls and regular mail, Arkansas participates in a program with a third-party vendor that allows family members and friends to communicate with an inmate through e-mail; the e-mail is received by the mailroom staff who prints and delivers it to the inmate.

Barber services are available to all inmates for personal grooming; four barber chairs are available, and seven barbers provide services on two shifts. Each inmate can receive a haircut every 16 days. Barber boxes and disinfectant are checked out from master control.

Maintenance is staffed with a director, assistant, L-3 wastewater operator, and L-1 water distribution operator; several staff carry a boiler license. Up to 15 inmates are assigned to assist with inside maintenance projects. A preventative maintenance plan was in place, which identified equipment that is checked weekly, monthly, quarterly, semi-annually, and annually; this includes ventilation, plumbing, lighting, boilers, and fire and safety systems. Staff maintains four emergency generators conducting weekly no-load tests and quarterly full-load test. Two boilers and two water storage tanks are monitored hourly and maintained 24/7 by two staff and six trained and/or licensed inmates. Hot water/steam heat is provided and forced air for cooling. This department was clean and organized, tools were etched and secure on shadow boards or on carts and were properly accounted for. Caustics were stored in flammable cabinets with the presence of safety data sheets, personal protective equipment, an eyewash station, and oily waste cans. Gas powered equipment was stored outdoors in a locked cage.

North Central Unit opened February 20, 1990, with a capacity of 300. The original structure is considered new construction as it was constructed and occupied after January 1, 1990.

New construction, renovation, or additions of the physical plant were as follows: In 2016 the Riverside Vocational Horticulture Greenhouse was added; 2015 a new armory was constructed; March 2014 Barracks 13 & 14 were completed; January 2014 four education classrooms and non-contact visitation were added.

2013 four drying beds were added to the WWTP; 2010 Barracks 9 & 10 were completed; 2007 the dog kennels were added; 2000 roof repair due to straight line winds; 1998 first expansion/administrative segregation; and 1993 four brick towers and the horse barn were added. During the audit cycle, projects included LED lighting around the perimeter, and added generator at the WWTP, and six new HVAC units and programmable thermostats.

Sanitation:

The fire safety sergeant is responsible for coordinating and monitoring sanitation and department supervisors and officers' assist. The North Central Unit had a Housekeeping Plan in place and sanitation throughout the facility was impressive. The showers, toilets, and living areas were clean; all floors were highly polished; there was no evidence of old dirt; and there were no foul odors present. Ongoing cleaning was observed throughout the facility in all areas. Storage/utility closets with sinks were available in all housing areas. The laundry officers were responsible for oversight of the main chemical room where cleaning agents were diluted and distributed from. Wax and stripper were stored in the Education Department. Cleaning products are diluted by staff at the point of distribution (main chemical room maintained by laundry), thus products handled by inmates are non-hazard; spray bottles were properly labeled. An annual Safety, Sanitation, and Health Inspection was conducted by Inspector Shawn McKinney from the Arkansas Department of Health Environmental Health Protection Services; two deficiencies were noted and corrected. Weekly fire, safety, and sanitation inspections are conducted by assigned staff and monthly inspections are conducted by the fire safety sergeant; all staff receive annual safety training. Pest control was contracted to Allstate Pest Solutions who provides monthly services.

NCU operates a wastewater treatment plant. Due to size, a Level III wastewater treatment operator is employed. Two inmates are assigned to the plant seven days a week from 7:30 a.m. to 3:30 p.m. The plant operates with one racetrack, eight drying beds, and two clarifiers. Additional drying beds were added in 2013 but with the increased population in 2014, it was reported that the plant is at capacity. Potable water is stored in a 22,000-gallon water tank. A Level I water distribution operator is employed. The Health Department conducts monthly testing.

Fire Safety:

The North Central Unit employs one full-time fire safety sergeant to manage and direct the fire safety program.

The fire detection and protection systems include a Silent Knight fire alarm system with one main control panel located in master control, three fire alarm pull stations (control center, 4/5 barracks, and 9/10 barracks), and 235 smoke detectors throughout.

An Ansul wet chemical hood suppression system is in the kitchen; a wet pipe sprinkler system was located in the living areas, work areas, and administration areas; and 21 fire reel hoses were present throughout. Systems are inspected, tested, and serviced in accordance with manufacture's recommendations, and all systems were operational during the visit. Approximately 92 fire extinguishers were located throughout the facility and tags indicated monthly inspections are conducted. Eight SCBA's were stored in pairs in central control, 2/3 and 11/12 barracks officer's station, and restrictive housing. Two safety harnesses are provided at WWTP. Six fire hydrants are strategically located along the perimeter road and inside the facility. Staff participate in quarterly fire drills, which are conducted on all shifts and in all areas of the facility. Fire drills are simulated in restrictive housing and the infirmary. Evacuation diagrams were properly posted throughout the facility. Emergency lighting was present and exit signs were illuminated. Emergency keys are readily available in master control and lock shop for immediate release of inmates, if needed.

The Arkansas State Police/Fire Marshal's Office is the authority having jurisdiction. Fire Marshal Inspectors Barry Burke and David Free conducted an annual inspection at NCU on May 18, 2022, with one violation noted and corrected. The Evacuation Plan was also reviewed and approved during the annual inspection. If assistance from a fire department is required, the Calico Rock Volunteer Fire Department is located approximately three miles from the facility with a response time of twenty minutes. All facility staff are trained in the implementation of facility emergency procedures. The safety committee meets quarterly to discuss employee safety, analyze incidents, and to improve working conditions and conditions of confinement.

The visiting committee found cleaning agents, caustics, flammables, and toxic items to be properly stored, but we could not verify the amount on hand that was recorded on the inventory sheet. Both staff and hobby craft inmates estimate the usage amount. The control and use of flammable, toxic, caustic materials and hazardous materials policy did not specifically state how the chemicals were to be accounted for and only read, "A perpetual inventory shall be maintained on each hazardous chemical/material." The visiting committee recommended the use of scales to weigh caustics and flammables for accurate/strict accountability. The team observed safety data sheets, personal protective equipment, and eyewash stations where chemicals were stored.

Food Service:

North Central Unit's food service operation is managed by Arkansas Department of Correction staff trained in food service. The food service operation is supervised by one food production manager, four food production supervisors, and approximately 85 inmate food service workers. All food service staff were ServSafe certified.

The kitchen provides ample space and includes three walk-in refrigerators and two walk-in freezers, one bake shop, two food prep areas, one staff prep area, three prep areas, one dishwashing area, one food storeroom, an open serving line, one staff and one inmate restroom, and staff and security offices. A refrigerated/freezer trailer van was on the back dock used for additional storage. The facility has one inmate dining hall that seats 152 plus four handicap accessible spots for a total of 156.

The food service operation is currently feeding approximately 2550 meals a day to the inmate population and staff. The facility follows a four-week heart healthy menu which provides approximately 3000 calories daily and is developed and approved by the Department's Dietitian, the diet handbook was on-hand and available for review. Quarterly menu reviews of meals and therapeutic diets are conducted to ensure compliance with approved menus. The average meal cost is approximately \$6.44 per day/per inmate.

Meals are served Monday through Sunday: Breakfast - 3:30 a.m., Lunch - 9:00 a.m., and Dinner - 2:30 p.m. The food production manager indicated that he has had to make quite a few substitutions to the menu recently due to supply chain shortages. In reviewing the documentation, all substitutions were appropriately managed and approved by the dietician.

Security staff were present in the dining hall to maintain security and to ensure meal service goes smoothly. Housing units are rotated daily for chow, except for the in-cell unit feeding. The in-cell feeding is done in RHU and the infirmary. Meals are trayed in insulated trays and transported in heated food carts with the meals being served by correctional staff. Inmates are given more than ample time to consume the meal and at a minimum the 20 minutes required by the standard.

During the visit, 50 therapeutic diets were prescribed. The diet handbook was available for review. The facility was serving the following diets: 1) Diet for Health, 2) High-Calorie, 3) High Cholesterol, 4) Medium Cholesterol, 5) Low Cholesterol, and 6) 2gm Na. Forty-five inmates were participating in Ramadan.

An officer/staff dining hall is available and staff are afforded free meals while on duty. The meal consists of the same meal that the inmates receive with some additional items.

The cleanliness of the kitchen was found to be impressive in all areas. It is obvious that the staff and inmates take great pride in their jobs. Teamwork and good attitudes were notable in the kitchen.

The food service department was also found to be efficient and compliant with ACA standards based on the team's review of the kitchen operations, the cleanliness of the kitchen operations, the timeliness of the operations, as well as by the team's observation of the feeding process, and importantly from interviews with staff and inmates.

Food service utensils and tools were properly accounted for using shadow boards and issued using a sign-in and out log. Highly sensitive tools such as knives, paddles, and can openers were tethered to the table during use as an additional security measure. Temperature logs for walk-in refrigerators, freezer, dry storage areas and water temperatures were reviewed and showed no discrepancies in maintaining appropriate temperatures. All food items were stored properly, off the floor, and away from the wall and ceiling.

Health checks for staff and inmates were being conducted and documented; staff and inmate training documentation was reviewed, and inmates were interviewed.

The Wednesday lunch meal was sampled by the audit team. The meal was well-seasoned, flavorful, tasted good, presented well on the tray, appropriately portioned and in compliance with the established menu. The meal consisted of meatballs and noodles (8 oz.), pinto beans (1/2 cup) Northern white beans substituted, stewed tomatoes (1cup), sliced bread (2 slices), peanut butter cookies (2 each), and a beverage. During the facility tour, the visiting committee received many favorable comments from the inmate population on the meals.

Inmates can purchase items that are not normally provided by ADC through the NCU staff managed commissary. The commissary list offers items such as food, clothing, hygiene items, over-the-counter medications, games, and radios/accessories. Inmates are allowed to shop once per week with a liberal spending of \$100.00. Inmates are also allowed to receive packages from a third party approved vendor at least two times a year. Many inmates take the opportunity to purchase their favorite foods and snacks, as observed throughout the living areas. An inmate welfare/indigent program was in place to assist those who do not regularly receive funds on their accounts. All products and food were stored off the floor in a temperature-controlled area. Thermometers and logs were present to monitor ambient air and freezer temperatures.

Medical Care:

Medical and dental care are provided through a contractual relationship with Wellpath, Inc. Medical care is onsite twenty-four hours a day, seven days a week (24/7). Medical staffing consists of up to 30 staff as follows: one physician (MD), one Advanced Practice Nurse (APN), three Registered Nurses (RN), thirteen Licensed Practical Nurses (LPN), and three Certified Nurse Assistants (CNA). There is also a Health Services Administrator (HSA) and a Director of Nursing (DON).

The HSA oversees a proactive quality assurance program and serves as the infectious disease nurse.

Medical services are provided in an ambulatory clinic setting with three exam tables, (one extra exam table in the x ray room) a provider office (with exam table) an x ray room, nurses station, medical records area, supply room, dental suite, and offices. An officer is posted in this area during the day shift. There is a licensed infirmary with the capacity to house eight inmates, and one observation bed. There is not a negative pressure room at this site. There is a secure (caged) waiting area for the inmates inside the clinic with educational posters available for review. There is an exam room available for care in the RHU. Exam areas have the appropriate diagnostic equipment (thermometers, blood pressure cuffs, pulse oximeters, EKG) in addition to having a nebulizer machine and oxygen present.

The acuity level consists of inmates who must be able to take care of their activities of daily living (ADLs). This includes wheelchair bound inmates, prosthetic care, and those with walkers and canes. For treatment requiring privacy (ostomy care and catheter care) they are afforded the ability to do this care in the medical clinic. Chronic care is offered to an estimated thirty (30) % of the population. Approximately twenty (20) inmates receive insulin or check their levels twice daily. Approximately five hundred fifty (550) sick call requests are processed monthly. Chronic care clinics are categorized as follows: HIV, Hep C, hypertension (HTN), Coronary Artery Disease (CAD), Hyperlipidemia, Asthma, COPD, and Seizures. They are seen every 3months, or 6 months as determined by the provider.

All arriving inmates are taken to the medical clinic for intake screening (vital signs and review of current health needs) and education on how to access care, file a grievance, and health care education and information on the co-pay. The inmate handbook informs the population regarding access by submitting a request (kiosk) or informing a correctional officer for urgent/emergent requests. They are informed that there is a \$3.00 copay for inmate-initiated requests not related to chronic care. Additional details are provided for how this fee is charged and when it is not.

Sick call is available seven days a week. Sick call requests are submitted through the kiosk with sick call boxes available if the kiosk is not operating. The kiosk request was demonstrated to the auditor; it affords the ability to check to ensure the request was sent and check to see if a message has been received. There is one kiosk in each unit. The attempt to see every inmate with a request is within 24 hours.

A nurse visits RHU three times daily, twice for medication pass and once to ensure that all inmates are reviewed and provided an opportunity for access to care. Access occurs by directly handing a written request from the inmate to the nurse (as verified by documentation, the nurse and inmates).

A review is completed of all inmates entering restrictive status, by a nurse, to review both physical and mental health needs).

Wellpath has an established clinical pathway which is used by the providers when rendering care and treatment. There are nursing protocols. In addition to over-the-counter medications, nurses are authorized to provide nebulizer treatments, nitroglycerin and Narcan in emergent situations, when indicated.

Lab Corps is used for laboratory tests; nurses obtain specimens, process as required and transport them to a secure box at the front of the operation; and stats needs can be addressed through the local hospital. Xray services are provided through a mobile x-ray company. An electronic medical record is used. The majority of specialty care is provided through the University of Arkansas Medical Services (UAMS) offsite. Onsite services can include ultrasound, orthotic treatment, and eye exams. Glasses are provided through a contract with Institutional Eye Care. Periodic physical exams occur as follows: less than thirty-nine (39) years every five years, forty (40) years to sixty-four (64) years every three years and over sixty-five (65) years old, every year. Education is provided at each encounter and perpetual education is on the TV in the housing units until the afforded TV time occurs. Medical diets are ordered by the physician and forwarded to the food service operation in accordance with a therapeutic diet manual.

Outside emergency care is provided by the local hospital (Baxter County) or University of Arkansas Medical Center, depending of the type of service needed as addressed by the county Emergency Medical Treatment (EMT) service. First aid kits are in the following areas: kitchen, control room, sallyport, entrance and field operations; additionally, they are located in each vehicle. The medical staff check them monthly to ensure they have the contents required. An AED is in the medical clinic along with a bag for emergency care backboard and stretcher. The emergency bag is checked daily and sealed; expiration dates for emergency supplies are recorded on the checklist. The physical plant affords the staff to respond to inmates requiring emergency care inside quickly, as all housing units are located off one long corridor, medical is located in the middle of this operation. Nurses are CPR certified in addition to supervisory security staff.

Medication is provided through a contractual arrangement with Diamond pharmacy; medications are in bubble packs. Medication pass is indoors, and correctional officer presence assists with mouth checks. The area is secure when medication passes occur. Insulin administration occurs in the clinic. Medication distribution occurs at 0330 to 0430, 0930 to 1030, and 1430 to 1530. These times coincide with the meal schedule. On-person medications (OPMs) are administered throughout the day from 1300 to 1800. Narcotics checks are reconciled twice daily when there is a shift change (Nurses work 12-hour shifts). The key is passed to the next staff at this time. They additionally report that the key control office checks the keys periodically.

There were few narcotics on site, mostly patient specific with a small stock of Tylenol 3. They also control expensive medications (Hep C meds) in the same manner.

Medications requiring refrigeration are appropriately stored and daily temperature checks are conducted and recorded.

Commissary medications include the following: antacid tablets, cold tablets, cough drops, hydrocortisone, laxative, pain reliever (Tylenol and ibuprofen 200mgs), and tolinaftate powder and cream.

Tools and needles are also reconciled daily. A running inventory is maintained in addition to bulk storage located in the supply room in a secure locker with appropriate inventory controls. The facility maintains an organized supply room with ample supplies.

Dental staffing consists of one dentist, one dental assistant, and a dental hygienist. There were three dental chairs and a back office for the dentist and security of tools/sharps and chemicals. The autoclave that is used for medical and dental operations is in this area. Tools and sharps are well controlled, sterilizing and sanitizing providers were reviewed and found to be excellent. They report there is no waiting list for dental care.

Mental Health services are provided by two counselors on site Monday through Friday, and on call duty. Psychiatrist is available via video conference eight hours one day per week. Inmates obtain appointments through the kiosk to the mental health department. The mental health case managers (bachelor's degree position) have access to a psychologist for consultation. They coordinate psychiatric visits and conduct screenings for those on psychotropic medications. Nursing staff dispense these medications and report to mental health staff when an inmate is not taking his medications. There are observation cells with cameras in the RHU and appropriate suicide garments available when use is determined by the mental health professional.

One death occurred during the three-year review period. The inmate had several co-morbidities, but the cause was attributed to the COVID 19 virus.

Outside audits of this operation include the Department of Health annually who inspects the infirmary operation, DOC audits, pharmacy audits (Diamond pharmacy) and two monthly quality assurance studies/reviews. Medical staff are able to access commissary information to help assess compliance with treatment plans. Monthly Medical Audit Committee (MAC) meetings occur with custody staff and mental health staff present.

Recreation:

A full-time recreation supervisor referred to as the "coach" oversees the recreation program at the North Central Unit. The recreation schedule offers either indoor or outdoor recreation seven days a week, rotating housing units throughout the day.

During the visit, the schedule offered four time slots Monday through Friday between 4:00 and 8:00 p.m. and one morning and afternoon session on weekends.

Indoor recreation is provided in a 6,695 sq. ft. gymnasium. Gymnasium activities include basketball, volleyball, handball, shuffleboard, ping pong, foosball, bean bag toss, walking/jogging, multi-use isometric workout stations, badminton and board games. Two large outdoor general population recreation yards are located at the back of the facility and include softball, basketball, flag football, soccer, walking/jogging, rubber horseshoes, pushup bars, and multi-purpose isometric workout stations. Activities in the yard also include scheduled tournaments during five major Holidays between intramural leagues. The intramural leagues consist of basketball, volleyball, handball, bean bag toss, football, and softball. There are five major tournaments a year where inmates can earn money for their account. Zone 1 recreation yard is located on the southwest corner and is 112,535 square feet and Zone 2 recreation yard on the northwest corner is 113,702 square feet in size. Outdoor recreation yards are surrounded by a fence topped with razor ribbon; activities are observed by two officers and the towers. Within dayrooms, inmates have access to television viewing and table games.

Inmates housed in RHU are offered at least one hour of recreation five days a week. RHU recreation is provided in eight 10x18 square foot recreation modules (six are referred to as rec. yard #3 and two rec. yard #4). Two RHU dayrooms are provided for use in inclement weather.

Inmates in Class I status are eligible to participate in the work craft program, which is popular and always has a waiting list. There is a total of 30 inmates who are authorized to participate in leather and wood crafts. Inmates are required to purchase all their own supplies and tools; power tools are not allowed. Tools and supplies are stored in assigned, locked cubbies. Inmate's sell their products to volunteers, staff, and their visitors.

Religious Programming:

Religious and volunteer services at the North Central Unit are planned, directed, and coordinated by one full-time chaplain. Chaplain McCown is an ordained Minister endorsed by Assemblies of God and has been employed at NCU for the past twelve years. The chaplain works a flex schedule and is on the grounds at least 40 hours per week. Local churches and volunteers assist in the delivery of religious programs. At the time of the audit there were 15 approved volunteers referred to as Certified Religious Assistants (CRA's) who provide services on a regular basis. Due to COVID many volunteers did not return. CRA's are screened and receive 16 hours of initial training and 12 hours annually. A small multi-purpose room seats up to 90, serves as the chapel, and includes musical instruments, a baptismal font, and state of the art sound and audio/visual equipment. Due to limited space, some services are held in the visitation area.

Chaplain McCown has actively been working on the first phase in constructing a stand-alone chapel for the unit, which consists of fundraising. The posted volunteer schedule provides worship, study, and fellowship times Sunday through Friday. Chaplain McCown maintains a library of religious books and materials that are available for check out. He maintains contact with outside organizations for resources and donations. He is available to assist in the notification of critical incidents or death to the inmate's emergency contact. He reviews all religious diet requests. Chapel services include a variety of counseling-based classes along with religious based services. The chaplain sponsors the volunteer led Think Legacy Program that focuses on re-entry/pre-release and the faith-based Principles and Applications for Life Program (PAL). Religious groups that regularly conduct services are Catholic, Protestant, and Islamic. Two revival services are also conducted each year. Arkansas allows inmate marriages for Class I inmates. Once approved, the inmate, family, or friends must bear all costs of the wedding, and up to six guests may attend the ceremony.

Inmate Work Programs:

North Central Unit inmates, who are medically cleared, are assigned a job/program assignment by the institutional classification committee based on their class status. Programming assignments are considered work assignments at NCU (i.e., school, Vo-tech, PAWs in Prison, Think Legacy, Principals and Applications for Life), and all inmates are expected to work and/or attend school. During the visit, it was reported that all but 14 inmates were assigned to a job and/or program. ADC does not pay wages to inmates, but those eligible to earn good time will do so. Traditional job assignments include kitchen, laundry, tutors, barber, shoeshine, car wash, maintenance (general, boiler room, WWTP), agriculture, porter, and clerk. Inmates participating in work programs are provided with safety training and equipment. NCU did not have a corrections industries program. Additional inmate program/work assignments include the following:

Utility Crews/Field Operations – NCU deploys up to nine agriculture field utility crews or work squads. Each crew averages 30 inmates. One crew is specialized in working in the 9.3-acre vegetable garden where vegetables are grown for use in ADC kitchens. Two greenhouses are used to grow their own plants. During the visit, the ground was being prepared for summer crops. Another crew maintains the apple orchard that had approximately 1700 trees and beehives for pollination. These are typically older inmates and about 4 are assigned to this squad. The mowing squad is responsible for maintaining the ground outside of the perimeter fence. NCU attempts to have 20 out working all the time and they try to keep 28 assigned to this squad. Five squads do an assortment of jobs which include clearing land, picking up rocks, and/or building roads.

Specialized jobs such as digging ditches, digging up busted water lines or cutting grass with sling blades may be done as needed.

Regional Maintenance Program – NCU operates a Regional Maintenance program with two 32-man escorted crews. Each crew is assigned two armed escorts and one lieutenant.

The crews may be requested by any state/public agency to do a variety of work. They are not allowed to assist private business or private citizens, and NCU tries to keep the inmates within an hour commute of the prison to allow them adequate work time on the job. These crews do work for the city and state, schools, parks, fairgrounds, and cemeteries. These crews have also assisted the Corp of Engineers, working around public lakes. NCU also adopted Highway 5 and inmates regularly pick up litter along the roadway.

Contract Crews – Through contract, there are up to 17 Class 1A inmates that work in the community unescorted. These include inmates assigned to Arkansas Game and Fish in Calico Rock and various departments in the City of Mountain Home.

Inmate Car Care (ICC) Garage - Up to 10 inmate ICC garage workers make minor repairs to state vehicles and trailers and maintain the cleanliness of the NCU vehicles. Gas tanks and a pump are available to gas up state vehicles. An inmate is assigned to track use of gas on a log and maintenance staff stick the tanks daily to measure gas for accountability. An assigned inmate is responsible for checking out tools to the squads.

The Equine Program - NCU is designated as the location for breeding and training horses for use within the department. The program is managed by a supervisor and two staff; up to eight inmates are assigned. During the visit, four inmates were assigned to the program and 125 horses were present in varying stages of training to be sent out to other ADC units. The armed field force squads utilize the horses to move around and supervise work crews in the fields. ADC sponsors an annual horse auction to sell retired horses that still have a viable life. Inmates help with saddling, breaking, grooming, and feeding the horses and keeping the barn clean. This program is equipped with a leather sewing machine, which allows them to repair saddles. Class A and B tools were controlled and accounted for. NCU contracts with a veterinarian in Mountain View, Arkansas.

K-9 Chase Team – The dog kennel was established in 1994 and was the first kennel in ADC to use leased dogs as trackers. The dog kennel is not only necessary in the event of an emergency, but also serves as a deterrent on a daily basis as it assists local, state, and federal agencies in locating missing persons, recovering evidence, and with felony apprehensions. This helps to provide public safety as well as building and strengthening interagency relationships. Two staff manage the K-9 program and two Class 1A inmates were assigned to help at the kennels. Canines are bred, raised, and are sent to other states. NCU keeps two pups out of each litter.

Paws in Prison Program - This is a dog training program that began in 2012 and is supported by private donations and the sale of recyclables. Shelter dogs are selected for participation in an 8-week program. The program is housed in Barracks 2 with up to 20 inmates assigned to this job/program. Each dog is assigned two trained inmate handlers who work at training the dog and preparing it for adoption. The dogs are maintained in crates between the two assigned inmate handlers.

Dogs are taken to the yard at least 6 times daily and are kenneled overnight. At any given time, there are six to eight dogs active in the program. The benefits of this program are three-fold. The Paws in Prison program reduces the number of animals who perish by better preparing them to be loving, obedient, and adoptable pets. The program gives inmates the skills necessary to support successful rehabilitation and reentry and ultimately improves public safety. At the same time, this is an opportunity for the inmates to do something positive for the communities of Arkansas. Since the program began, ADC has seen a positive impact on daily interactions between inmates and employees, which ultimately improves security inside the prison.

Think Legacy Program – Think Legacy is NCU’s version of Re-Entry/Pre-Release, is designed to prepare an inmate for his return to the community. Inmates assigned to this program must be at least a Class II and must be one year from transfer eligibility. The program is housed in Barracks 1 and has 55 beds available. Think Legacy is a six-month program that consists of a character core curriculum and an eight-week National Institute of Corrections approved curriculum. Inmates receive additional training from community volunteers and NCU staff in resume writing, job interview training, WAGE job training and motivational training. The program’s focus is not on getting out but staying out.

Principles and Application for Life (PAL) – The prison fellowship faith-based PAL Program’s purpose is to attempt to create better inmate morale, reduce disciplinary actions, and prepare the inmate to be a productive citizen. This six-month program is volunteer based, accommodates up to 20 students housed in Barracks 4, and meets Monday through Friday from 7:00 to 10:00 a.m. Chaplain McCown oversees the PAL Program.

Academic and Vocational Education:

The Arkansas Department of Correction School District is a legislative school district that is funded as a line item through the Department of Education’s yearly budget. The NCU Educational Department was funded for one principal and four instructional staff positions.

All inmates transferred to NCU are tested for class placement. Academic education is not mandatory in Arkansas, but learning is emphasized.

The classes offered at NCU campus are Adult Basic Education, Adult Intermediate Education, Pre-GED, and GED. The school offers English as a Learned Language (ELL) and a Career Technical Education (CTE) Horticulture course.

The brick-and-mortar education include reading, language arts, social studies, science, and math. Inmates who score above 165 on parts of the GED can also receive college credits. Inmates have access to the Pell Grant with the opportunity to take online courses through the Ashland University Program at no cost. The school principal is approved to administer the official GED test.

The school year starts in August and ends in May. The school district has an open enrollment policy, and the admission numbers remain fluid due to transfers and unit movement. School and CTE meet four days a week (Monday through Thursday) from 7:15 to 10:45 a.m. and 12:15 to 3:45 p.m. There are three traditional classrooms that accommodate approximately eighteen students per class, one CTE/Academic classroom, and one computer lab with 24 workstations. The school assigns school eligible Regional Maintenance Crew members to school depending on if they are on Regional I and Regional II. Regional I attend school all day Mondays and Regional II attends school all day Tuesdays.

In the 2021 legislative session Riverside Vo-Tech was dissolved and the Vo-Tech programs became part of the Arkansas Correctional School District and was renamed Career Technical Education (CTE). CTE is the term used by the Arkansas Department of Workforce Education, as well as the Arkansas Department of Education. The NCU CTE program currently has one full-time instructor and five students. The Horticulture Program started in May 2016 and covers greenhouse management, nursery stock management, turf assessment, gardening, large and small fruit culture, and landscaping and design. The CTE standards for completion are an accumulated 600-hour classroom/hands-on instruction with an exit exam. This program offers a state certificate in horticulture and landscaping through the Career and Technical Education Department of Arkansas. Once the five students complete this program, NCU will no longer offer Horticulture.

During the visit, 142 inmates were participating in academic education, four in CTE, and none were enrolled in college courses. During the 2022/2023 school year, there were 51 GED graduates and 28 CTE completers. All eligible students who graduate with a GED or complete the CTE Program receive 180 days good time.

Social Services:

The provision of social services at the North Central Unit is provided through the combined efforts of the chaplain, education staff, mental health staff, and volunteers.

There is an active religious program at NCU and services are provided in traditional and small group formats. The chaplain also sponsors the volunteer led Think Legacy Program, which is a Re-entry/Pre-release Program that prepares inmates for release. The faith-based Principles and Applications for Life Program helps prepare the inmate to be a productive citizen.

Additionally, the chaplain is available for guidance and counseling services.

Career Technical Education offers the 600-hour state certificate in horticulture and landscaping.

Mental Health staff offer self-study groups in Anger Management, Stress Management, Thinking Errors, Communication Skills, Domestic Violence, Substance Abuse Education, and Parenting classes. The Mental Health department is also available to provide counseling and other mental health services to inmates experiencing behavioral, emotional, and/or adjustment problems.

Visitation:

The North Central Unit provides a large contact visitation area located at the front of the main building/administration area. General population inmates are allowed to visit between the hours of 11:00 to 3:30 p.m. every Saturday and Sunday, and Holidays that fall on the weekend. Visitors must visit the visitation appointment website prior to visiting because this institution is on a rotating schedule taking into consideration the classification and first letter of their name.

Four non-contact visiting stations are available for RHU inmates. Non-contact visitation is by appointment only, once a month, for two hours.

Special visits may be approved, in advance, by the warden or deputy warden to take place during weekdays for those who live more than 300 miles from the unit. Attorney visits may also be approved and are scheduled during normal business hours in the warden's conference room.

Securus offers video visitation on kiosks and tablets. The cost of a video visit is \$12.99 for 30 minutes. The unit visitation clerk schedules video visits. Rules and violations are outlined in the Inmate Handbook.

The contact visiting room can accommodate up to 588 individuals at a time and provides a children's area where a television and DVD player are available. A variety of vending machines that include soda, snack, and cold sandwich machines are available for visitors. Visitors are allowed to take in up to \$50.00. Restrooms and a limited number of lockers are available for visitors' use inside the lobby area. Visits are limited to four approved adults or a grouping of two adults and multiple children. All visitors must submit to a background check prior to being allowed to visit.

All staff and visitor's items are searched and passed through an x-ray machine, everyone is subject to a pat search, and they must clear through the metal detector prior to entry. All visitors are cleared through a biometric reader and must show positive picture I.D. prior to each visit. A photo program is available to inmates and visitors offering one photograph for \$3.00 or two for \$5.00; all funds go into the employee corporation fund.

Library Services:

The North Central Unit recreational reading library and law library is managed by a program specialist.

Two inmate library clerks are assigned to operate the library and three inmate law library clerks are assigned to gather the legal information requested. A master librarian from ADC central office is available to assist when needed. The recreational library is stocked with approximately 6883 fiction, non-fiction, and reference books. Magazines, periodicals, and newspapers are also available for viewing. A selection of Spanish books was available to accommodate the population. An inter-library loan program is in place between NCU and Calico Rock Library, other ADC facility libraries, and the State Library.

The recreational library is open seven days a week. Each barracks is scheduled on rotation. The November 9, 2022, COVID schedule reflects hours from 8:00 a.m. to completion. In order to meet the intent of the standard, evenings will need to be added now that programs are being reimplemented. Restrictive housing inmates have access to library books using a request form; books are delivered on Friday.

Inmates can check out up to four books for two weeks. Inmates are also allowed to receive new books, magazines, and newspapers directly from approved publishers. The visiting committee observed a variety of books throughout the living units, including RHU.

Law library materials are available through a set of law books and a Westlaw program. One computer station is available for inmate use, one hour at a time. The law library follows the same schedule as the recreational library. Inmates may also request law work, law cases, and other law library materials by using a Request for Interview form. Copies are available at a rate of \$.05 per page, notary services are available through the shift supervisors, legal clerks provide legal typing services, and supplies can be purchased through commissary. It was reported that supplies and postage are provided to indigent inmates.

Laundry:

There is one centralized laundry area at the North Central Unit that operates seven days a week from 5:30 a.m. to 5:30 p.m. Staffing includes one supervisor, two staff, and up to 40 assigned inmates on two shifts.

Upon arrival, inmates are provided two sets of clothing, a set of linens, and a laundry bag; additional clothing may be purchased from the commissary. NCU inmates wear all whites and clothing is assigned and tagged with the inmate's name and number. Work clothes are laundered daily, linens once a week, and blankets once a month. Large laundry bags hang at the front of each barracks for soiled items. Each barracks assigns picket men to pick up and deliver clothing. An exchange schedule was in place and posted. All inmates observed were wearing clean clothing that was in good condition.

Laundry equipment consists of five commercial washers, five commercial dryers, four large and one small press machine, and three sewing machines. Laundry chemicals are issued and manually added to the machines. Chemicals were controlled and inventoried. It was reported that lint traps were being checked after every load. Mattresses and pillows are sanitized upon issue and return and may be replaced once a year or as needed. Two rolls of toilet paper, one bar of soap and two state issued razors are provided weekly during hygiene issuance. Razors are issued on a one-for-one exchange. Additional hygiene items may be purchased through the commissary.

F. Examination of Records

Following the facility tour, the visiting committee proceeded to the Warden's conference room to review the electronic files and evaluate compliance levels of the policies and procedures. The facility follows Arkansas Department of Correction Administrative Regulations and North Central Unit policies and procedures. The NCU Education Department was accredited by the Correctional Education Association (CEA) from July 2022 through June 2025; therefore, a letter was presented from ACA allowing the CEA certification/accreditation to be used as documentation for the academic and vocational education standards in Section 7B. Staff were very responsive to questions and quickly provided additional documentation, as needed.

A review of five inmate case records revealed uniformity and thorough documentation. The human resources coordinator demonstrated the computerized system that manages all personnel and medical records. The system was secure and identified staff only have access to certain modules.

The facility had zero notices of non-compliance with local, state, or federal laws or regulations.

1. Litigation

Over the last three years, the facility has had no consent decrees, class action lawsuits or adverse judgments.

2. Significant Incidents/Outcome Measures

The visiting committee reviewed the North Central Unit Significant Incident Summary for the period of April 2022 through March 2023. The numbers reflected in the report are consistent with the overall mission and security level of the facility. One incident was reported for August 10, 2022, regarding the possession of drugs.

The visiting committee reviewed the North Central Unit 5th Edition Outcome Measures Year 3 April 1, 2022, through March 31, 2023. The numbers appeared to be reasonable for the size and custody levels being housed at the facility.

3. Departmental Visits

The visiting committee revisited the following departments to review conditions relating to departmental policy and operations:

<u>Department Visited</u>	<u>Person(s) Contacted</u>
Administration	Michelle Gray, Warden Steven Ricketts, Deputy Warden Linda Williams, Accreditation Specialist
Chaplain	Patrick McCown, Chaplain/Volunteer Coordinator
Education	Michael Stewart, Principal Aaron Browning, CTE Supervisor
Food Service	Alex Bentley, Kitchen Supervisor
Human Resources	Michael Smith, H.R. Coordinator
Fire Safety	Sgt. Jeremy Cottrell, Fire & Safety
Mailroom	Michelle Avery, Mailroom Supervisor
Maintenance	Ronald Riley, Maintenance Director Roger Woods, Maintenance Supervisor/Boiler Rm
Medical	Marjorie Parrot, HSA Rodney Walker, LPN Monica Odem, LPN Crystal McKay, LPN Ashley Helm, LPN Christina Miller, LPN Abby Sanders, CNA Sheena Vannatter, CNA Lisa Downing, DON Jason Kelly, Regional Medical Supervisor Minnie Turner, Medical Clerk Dr. Lawrence Davis, Dentist
Records	Donna Frentz, Records Supervisor Nicole Myers, Administrative Specialist
Security	James Bemis, Corporal James Hoskins, Corporal Joseph LeDoux, Corporal Dawson Henderson, Corporal

Donald Ford, Corporal
Scott Jenkins, Corporal
Christopher Marriott, Corporal
Donald Ford, Corporal
Ethan Porter, Lieutenant/Shift Supervisor
Adam Sidons, Corporal
Katie Dennis, Corporal
Gerald Blevins, Corporal
David Mason, Corporal
Lloyd Camp, Corporal
Justin Hunt, Sergeant
Douglas Reeves, Unit Training Supervisor

Training

4. Shifts

North Central Unit security staff are assigned to a twelve-hour shift with a 15-minute briefing prior to the start of the shift. Some staff are assigned to the Monday through Friday administrative shift.

a. First Shift: 6:30 a.m. to 6:30 p.m.

The visiting committee was present at the facility during the first shift on Tuesday, Wednesday, and Thursday. Most of this shift was spent touring the facility; speaking to department supervisors, staff, and inmates; verifying protocols; observing count; observing the serving of a lunch meal; checking key, tool, caustic, and chemical control; and assessing conditions of confinement. The medical auditor observed the distribution of medication. Inmates were observed programming, working, and enjoying leisure time. Tuesday evening the audit chair observed the 6:00 p.m. Catholic service being led by one priest; 23 inmates were in attendance. Two team members observed the oncoming second shift briefing at 6:15 p.m. on Tuesday; five supervisors and 19 corporals reported for duty and one officer called in. The team spoke to the on-coming shift regarding the audit and observations that day. Officers were recognized for the excellent job they do at NCU. The medical auditor greeted the second shift in the medical area.

b. Second Shift: 6:30 p.m. to 6:30 a.m.

The visiting committee was present at the facility during the second shift from 6:30 p.m. to 8:05 p.m. Tuesday. Two audit team members visited with the second shift officers before and during the shift briefing and some were interviewed at their post assignment. The audit team was driven around the perimeter on the way out to observe lighting at night.

- c. Administrative Shift: 8:00 a.m. to 4:30 p.m. Monday - Friday

The team was present at the facility during the administrative shift Tuesday through Thursday. Many of the officers on this shift assisted the team by scribing, escorting, and providing information.

- 5. Status of Previously Non-compliant Standards/Plans of Action for which a waiver was not granted.

There were no non-compliance standards reported in June 2021.

G. Interviews

During the course of the audit, the visiting committee met with both staff and inmates to verify observations and/or to clarify questions concerning facility operations.

- 1. Inmate Interviews

The visiting committee spoke with approximately 116 inmates. Inmates interviewed indicated that they were aware of the audit. Interviews were conducted informally in all housing units, program areas, and work sites. Inmates were open to the interview process and answered questions confirming they felt safe, and that they have access to programs, religion of their choice, recreation, visits, and medical and dental services. Several inmates also confirmed that they participated in fire drills. Positive comments were received regarding food. Inmates were pleased with the programs offered but felt there needed to be more. Inmates throughout the housing units were disgruntled about the lack of privacy in the shower and toilet areas because they face the entrance door, officer's control center, and dayroom. The facility has not undergone a PREA audit; the medical auditor suggested a curtain or screen that provides partial privacy in these areas.

- 2. Staff Interviews

Approximately 80 staff members were interviewed by the visiting committee. It was apparent that the staff had been informed of the audit and prepared for the visit. Virtually all staff interviewed expressed their satisfaction and pride in the degree of collaboration and cohesiveness with and among administration staff, custody, and non-custody staff. Those verbal reports were confirmed by observations. All staff stated that they enjoyed working at the facility, the training received is pertinent to the job, and they felt safe in their working environment.

H. Exit Discussion

The exit interview was held April 13, 2023, at 11:35 a.m. in the visiting room with Warden Michelle Gray and 42 North Central Unit staff in attendance.

The following persons from outside the facility were also in attendance:

Dexter Payne	DOC Director, DOC Central Office
Dale Reed	Chief Deputy Director, DOC Central Office
William Straughn	Deputy Director Institutions, DOC Central Office
Aundrea Culclager	Deputy Director Health Services and Programs, Central Office
Lt. Byron Brown	DOC Fire Safety Coordinator, DOC Central Office
Shelly Lawrence	Agency ACA Manager, DOC Central Office
Shelly Byers	Assistant Medical Administrator, DOC Central Office
Laura Robbins	Communications Department, DOC Central Office
Manda Bass	Communications Department, DOC Central Office
Jason Kelley	WellPath Regional Manager, DOC Central Office
Kim Rosenthal	WellPath Director of Operations, DOC Central Office
Brian Drost	Maintenance/Construction, DOC Construction
Tommy Hurst	Warden, Grimes Unit
Daniel Wantulok	IT, McPherson
Virginia Robins	Unit Accreditation Specialist, McPherson
Mary Ann Allen	Unit Accreditation Specialist, Cummins
Kelly Beatty	Unit Accreditation Specialist, Varner

The visiting committee each expressed appreciation for the hospitality and cooperation of everyone involved and reviewed their individual findings with the group. Staff are working together to provide a safe environment for staff, inmates, and the public. At the end of the day both staff and offenders stated they felt safe.

The chairperson discussed the compliance levels of the mandatory and non-mandatory standards and explained that the visiting committee is the eyes and ears of the Commission, and the Commission on Accreditation for Corrections determines and awards accreditation at the scheduled panel hearing. The chairperson also explained the procedures that would follow the completion of the audit.

The chairperson again thanked and congratulated the North Central Unit staff for the progress made and encouraged them to continue to strive toward even further professionalism within the correctional field as they begin their new audit cycle.

COMMISSION ON ACCREDITATION FOR CORRECTIONS

AND THE

AMERICAN CORRECTIONAL ASSOCIATION

COMPLIANCE TALLY

Manual Type	Adult Correctional Institutions, 5th Edition
Supplement	Not Applicable
Facility/Program	Arkansas Department of Correction North Central Unit
Audit Dates	April 11-13, 2023
Auditor(s)	Laura C. Tafoya, Chairperson Carol Thomas, Member Amy Fairbanks, Healthcare Member

	MANDATORY	NON-MANDATORY
Number of Standards in Manual	64	509
Number Not Applicable	5	72
Number Applicable	59	437
Number Non-Compliance	0	0
Number in Compliance	59	437
Percentage (%) of Compliance	100%	100%

- Number of Standards *minus* Number of Not Applicable *equals* Number Applicable
- Number Applicable *minus* Number Non-Compliance *equals* Number Compliance
- Number Compliance *divided by* Number Applicable *equals* Percentage of Compliance

COMMISSION ON ACCREDITATION FOR CORRECTIONS

Arkansas Department of Correction
North Central Unit
Calico Rock, Arkansas

April 11-13, 2023

Visiting Committee Findings

Mandatory Standards

Not Applicable

Standard #5-ACI-4A-01(M) (4-4400)

(MANDATORY). WHEN AN OFFENDER IS TRANSFERRED TO SPECIAL MANAGEMENT HOUSING, HEALTH CARE STAFF WILL BE INFORMED IMMEDIATELY AND WILL PROVIDE A SCREENING AND REVIEW AS INDICATED BY THE PROTOCOLS ESTABLISHED BY THE HEALTH AUTHORITY. UNLESS MEDICAL ATTENTION IS NEEDED MORE FREQUENTLY, EACH OFFENDER IN SPECIAL MANAGEMENT HOUSING RECEIVES A DAILY VISIT FROM A QUALIFIED HEALTH CARE PROFESSIONAL. THE VISIT ENSURES THAT OFFENDERS HAVE ACCESS TO THE HEALTH CARE SYSTEM. THE PRESENCE OF A HEALTH CARE PROVIDER IN SPECIAL MANAGEMENT HOUSING IS ANNOUNCED AND RECORDED. THE FREQUENCY OF PHYSICIAN VISITS TO SPECIAL MANAGEMENT HOUSING IS DETERMINED BY THE HEALTH AUTHORITY.

FINDINGS:

The ADC North Central Unit does not have special management housing.

Standard #5-ACI-6A-10(M) (4-4353)

REVISED JANUARY 2003. (MANDATORY) IF FEMALE INMATES ARE HOUSED, ACCESS TO PREGNANCY MANAGEMENT IS SPECIFIC AS IT RELATES TO THE FOLLOWING:

- PREGNANCY TESTING
- ROUTINE PRENATAL CARE
- HIGH-RISK PRENATAL CARE
- MANAGEMENT OF THE CHEMICALLY ADDICTED PREGNANT INMATE
- POSTPARTUM FOLLOW-UP

- UNLESS MANDATED BY STATE LAW, BIRTH CERTIFICATES/ REGISTRY DOES NOT LIST A CORRECTIONAL FACILITY AS THE PLACE OF BIRTH

FINDINGS:

The ADC North Central Unit does not house female inmates.

Standard #5-ACI-6A-21(M) (4-4362)

REVISED JANUARY 2006 (MANDATORY). INTAKE MEDICAL SCREENING FOR OFFENDER TRANSFERS, EXCLUDING INTRASYSTEM, COMMENCES UPON THE OFFENDER'S ARRIVAL AT THE FACILITY AND IS PERFORMED BY HEALTH TRAINED OR QUALIFIED HEALTH CARE PERSONNEL. ALL FINDINGS ARE RECORDED ON A SCREENING FORM APPROVED BY THE HEALTH AUTHORITY. THE SCREENING INCLUDES AT LEAST THE FOLLOWING:

INQUIRY INTO:

- ANY PAST HISTORY OF SERIOUS INFECTIOUS OR COMMUNICABLE ILLNESS, AND ANY TREATMENT OR SYMPTOMS (FOR EXAMPLE, A CHRONIC COUGH, HEMOPTYSIS, LETHARGY, WEAKNESS, WEIGHT LOSS, LOSS OF APPETITE, FEVER, NIGHT SWEATS THAT ARE SUGGESTIVE OF SUCH ILLNESS), AND MEDICATIONS
- CURRENT ILLNESS AND HEALTH PROBLEMS, INCLUDING COMMUNICABLE DISEASES
- DENTAL PROBLEMS
- USE OF ALCOHOL AND OTHER DRUGS, INCLUDING TYPE(S) OF DRUGS USED, MODE OF USE, AMOUNTS USED, FREQUENCY USED, DATE OR TIME OF LAST USE, AND HISTORY OF ANY PROBLEMS THAT MAY HAVE OCCURRED AFTER CEASING USE (FOR EXAMPLE CONVULSIONS)
- THE POSSIBILITY OF PREGNANCY AND HISTORY OF PROBLEMS (FEMALE ONLY); AND OTHER HEALTH PROBLEMS DESIGNATED BY THE RESPONSIBLE PHYSICIAN

OBSERVATION OF THE FOLLOWING:

- BEHAVIOR, INCLUDING STATE OF CONSCIOUSNESS, MENTAL STATUS, APPEARANCE, CONDUCT, TREMOR, AND SWEATING
- BODY DEFORMITIES, EASE OF MOVEMENT, AND SO FORTH
- CONDITION OF SKIN, INCLUDING TRAUMA MARKINGS, BRUISES, LESIONS, JAUNDICE, RASHES AND INFESTATIONS, RECENT TATTOOS, AND NEEDLE MARKS OR OTHER INDICATIONS OF DRUG ABUSE

MEDICAL DISPOSITION OF THE OFFENDER:

- GENERAL POPULATION
- GENERAL POPULATION WITH PROMPT REFERRAL TO APPROPRIATE HEALTH CARE SERVICE

- REFERRAL TO APPROPRIATE HEALTH CARE SERVICE FOR EMERGENCY TREATMENT

OFFENDERS WHO ARE UNCONSCIOUS, SEMICONSCIOUS, BLEEDING OR OTHERWISE OBVIOUSLY IN NEED OF IMMEDIATE MEDICAL ATTENTION ARE REFERRED. WHEN THEY ARE REFERRED TO AN EMERGENCY DEPARTMENT, THEIR ADMISSION OR RETURN TO THE FACILITY IS PREDICATED ON WRITTEN MEDICAL CLEARANCE. WHEN SCREENING IS CONDUCTED BY TRAINED CUSTODY STAFF, PROCEDURES WILL REQUIRE A SUBSEQUENT REVIEW OF POSITIVE FINDINGS BY THE LICENSED HEALTH CARE STAFF. WRITTEN PROCEDURES AND SCREENING PROTOCOLS ARE ESTABLISHED BY THE RESPONSIBLE PHYSICIAN IN COOPERATION WITH THE FACILITY MANAGER. INMATES CONFINED WITHIN A CORRECTIONAL COMPLEX WITH CONSOLIDATED MEDICAL SERVICES DO NOT REQUIRE HEALTH SCREEING FOR INTRA-SYSTEM TRANSFERS.

FINDINGS:

The ADC North Central Unit only receives intra-system transfers and not inter-system.

Standard #5-ACI-6A-25(M) (4-4365)

REVISED JANUARY 2006 (MANDATORY). A COMPREHENSIVE HEALTH APPRAISAL FOR EACH OFFENDER, EXCLUDING INTRA-SYSTEM TRANSFERS, IS COMPLETED AS DEFINED BELOW, AFTER ARRIVAL AT THE FACILITY. IF THERE IS DOCUMENTED EVIDENCE OF A HEALTH APPRAISAL WITHIN THE PREVIOUS NINETY DAYS, A NEW HEALTH APPRAISAL IS NOT REQUIRED, EXCEPT AS DETERMINED BY THE DESIGNATED HEALTH AUTHORITY. HEALTH APPRAISALS INCLUDE THE FOLLOWING:

WITHIN 14 DAYS AFTER ARRIVAL AT THE FACILITY:

- REVIEW OF THE EARLIER RECEIVING SCREEN
- COLLECTION OF ADDITIONAL DATA TO COMPLETE THE MEDICAL, DENTAL, MENTAL HEALTH, AND IMMUNIZATION HISTORIES
- LABORATORY OR DIAGNOSTIC TESTS TO DETECT COMMUNICABLE DISEASE, INCLUDING VENEREAL DISEASE AND TUBERCULOSIS *
- RECORD OF HEIGHT, WEIGHT, PULSE, BLOOD PRESSURE, AND TEMPERATURE
- OTHER TESTS AND EXAMINATIONS AS APPROPRIATE

WITHIN 14 DAYS AFTER ARRIVAL FOR INMATES WITH IDENTIFIED SIGNIFICANT HEALTH CARE PROBLEMS:

- MEDICAL EXAMINATION, INCLUDING REVIEW OF MENTAL AND DENTAL STATUS (FOR THOSE INMATES WITH SIGNIFICANT HEALTH PROBLEMS DISCOVERED ON EARLIER SCREENING SUCH AS CARDIAC PROBLEMS, DIABETES, COMMUNICABLE DISEASES, AND SO FORTH)

- REVIEW OF THE RESULTS OF THE MEDICAL EXAMINATION, TESTS, AND IDENTIFICATION OF PROBLEMS BY A HEALTH CARE PRACTITIONER OR OTHER QUALIFIED HEALTH CARE PROFESSIONAL, IF SUCH IS AUTHORIZED IN THE MEDICAL PRACTICE ACT
- INITIATION OF THERAPY WHEN APPROPRIATE
- DEVELOPMENT AND IMPLEMENTATION OF TREATMENT PLAN, INCLUDING RECOMMENDATIONS CONCERNING HOUSING, JOB ASSIGNMENT, AND PROGRAM PARTICIPATION

WITHIN 30 DAYS AFTER ARRIVAL FOR INMATES WITHOUT SIGNIFICANT HEALTH CARE PROBLEMS:

- MEDICAL EXAMINATION, INCLUDING REVIEW OF MENTAL AND DENTAL STATUS (FOR THOSE INMATES WITHOUT SIGNIFICANT HEALTH CARE CONCERNS IDENTIFIED DURING EARLIER SCREENING--NO IDENTIFIED ACUTE OR CHRONIC DISEASE, NO IDENTIFIED COMMUNICABLE DISEASES, AND SO FORTH)
- REVIEW OF THE RESULTS OF THE MEDICAL EXAMINATION, TESTS, AND IDENTIFICATION OF PROBLEMS BY A HEALTH CARE PRACTITIONER OR OTHER QUALIFIED HEALTH CARE PROFESSIONAL, IF SUCH IS AUTHORIZED IN THE MEDICAL PRACTICE ACT
- INITIATION OF THERAPY, WHEN APPROPRIATE
- DEVELOPMENT AND IMPLEMENTATION OF TREATMENT PLAN, INCLUDING RECOMMENDATIONS CONCERNING HOUSING, JOB ASSIGNMENT, AND PROGRAM PARTICIPATION

INTERPRETATION JAN. 2004. THE CRITERION FOR TESTING FOR VENEREAL DISEASES IS AT THE DISCRETION OF THE AGENCY'S/FACILITY'S HEALTH AUTHORITY.

FINDINGS:

The ADC North Central Unit only receives intra-system transfers and not inter-system.

Standard #5-ACI-6A-32(M) (4-4371)

REVISED JANUARY 2006 (MANDATORY). ALL INTERSYSTEM OFFENDER TRANSFERS WILL UNDERGO A MENTAL HEALTH APPRAISAL BY A QUALIFIED MENTAL HEALTH PROFESSIONAL WITHIN FOURTEEN DAYS OF ADMISSION TO A FACILITY. IF THERE IS DOCUMENTED EVIDENCE OF A MENTAL HEALTH APPRAISAL WITHIN THE PREVIOUS NINETY DAYS, A NEW MENTAL HEALTH APPRAISAL IS NOT REQUIRED, EXCEPT AS DETERMINED BY THE DESIGNATED MENTAL HEALTH AUTHORITY. MENTAL HEALTH APPRAISALS INCLUDE, BUT ARE NOT LIMITED TO:

- REVIEW OF AVAILABLE HISTORICAL RECORDS OF INPATIENT AND OUTPATIENT PSYCHIATRIC TREATMENT

- REVIEW OF HISTORY OF TREATMENT WITH PSYCHOTROPIC MEDICATION
- REVIEW OF HISTORY OF PSYCHOTHERAPY, PSYCHO-EDUCATIONAL GROUPS, AND CLASSES OR SUPPORT GROUPS
- REVIEW OF HISTORY OF DRUG AND ALCOHOL TREATMENT
- REVIEW OF EDUCATIONAL HISTORY
- REVIEW OF HISTORY OF SEXUAL ABUSE-VICTIMIZATION AND PREDATORY BEHAVIOR
- ASSESSMENT OF CURRENT MENTAL STATUS AND CONDITION
- ASSESSMENT OF CURRENT SUICIDAL POTENTIAL AND PERSON-SPECIFIC CIRCUMSTANCES THAT INCREASE SUICIDE POTENTIAL
- ASSESSMENT OF VIOLENCE POTENTIAL AND PERSON-SPECIFIC CIRCUMSTANCES THAT INCREASE VIOLENCE POTENTIAL
- ASSESSMENT OF DRUG AND ALCOHOL ABUSE AND/OR ADDICTION
- USE OF ADDITIONAL ASSESSMENT TOOLS, AS INDICATED
- REFERRAL TO TREATMENT, AS INDICATED
- DEVELOPMENT AND IMPLEMENTATION OF A TREATMENT PLAN, INCLUDING RECOMMENDATIONS CONCERNING HOUSING, JOB ASSIGNMENT, AND PROGRAM PARTICIPATION

FINDINGS:

The ADC North Central Unit only receives intra-system transfers and not inter-system.

COMMISSION ON ACCREDITATION FOR CORRECTIONS

Arkansas Department of Correction
North Central Unit
Calico Rock, Arkansas

April 11-13, 2023

Visiting Committee Findings

Non-Mandatory Standards

Not Applicable

Standard #5-ACI-1B-24 (4-4059)

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT, CONSISTENT WITH THE LAWS AND LEGAL PRACTICES WITHIN THE JURISDICTION, RESTITUTION IS COLLECTED AND ULTIMATELY MADE AVAILABLE TO THE VICTIMS OF CRIME AND/OR THEIR SURVIVORS. WHERE SUPPORTED BY STATUTE, AND FEASIBLE, VICTIM AWARENESS CLASSES ARE OFFERED TO HELP OFFENDERS UNDERSTAND THE IMPACT OF THEIR CRIMES ON THE VICTIMS, THEIR COMMUNITIES, AND THEIR OWN FAMILIES.

FINDINGS:

Victims Programs and Services are overseen by ADC Central Office staff.

Standard #5-ACI-1C-12 (4-4059)

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT EMPLOYEES COVERED BY MERIT SYSTEMS, CIVIL SERVICE REGULATIONS, OR UNION CONTRACT ARE APPOINTED INITIALLY FOR A PROBATIONARY TERM OF AT LEAST SIX MONTHS BUT NO LONGER THAN ONE YEAR UNLESS NATIONAL OR STATE REGULATIONS SPECIFY OTHERWISE.

FINDINGS:

ADC North Central Unit employees are not covered by merit systems, civil service regulations, or union contracts.

Standard #5-ACI-2C-06 (4-4137-1)

(NEW CONSTRUCTION AFTER JUNE 2014). INMATES HAVE ACCESS TO TOILETS AND HAND-WASHING FACILITIES 24-HOURS PER DAY AND ARE ABLE TO USE TOILET FACILITIES WITHOUT STAFF ASSISTANCE WHEN THEY ARE CONFINED IN THEIR CELLS/SLEEPING AREAS. TOILETS ARE PROVIDED AT A MINIMUM RATIO OF 1 FOR EVERY 12 INMATES IN MALE FACILITIES AND 1 FOR EVERY 8 INMATES IN FEMALE FACILITIES. URINALS MAY BE SUBSTITUTED FOR UP TO ONE-HALF OF THE TOILETS IN MALE FACILITIES. ALL HOUSING UNITS WITH 3 OR MORE INMATES HAVE A MINIMUM OF 2 TOILETS. THESE RATIOS APPLY UNLESS ANY APPLICABLE BUILDING OR HEALTH CODES REQUIRE ADDITIONAL FIXTURES.

FINDINGS:

ADC North Central Unit opened in 1990. There was no new construction of housing units after June 2014 (toilets and handwashing facilities).

Standard #5-ACI-2C-08 (4-4138-1)

(NEW CONSTRUCTION AFTER JUNE 2014). INMATES HAVE ACCESS TO OPERABLE WASHBASINS WITH HOT AND COLD RUNNING WATER IN THE HOUSING UNITS AT A MINIMUM RATIO OF 1 BASIN FOR EVERY 12 OCCUPANTS. THESE RATIOS APPLY UNLESS ANY APPLICABLE BUILDING OR HEALTH CODES REQUIRE ADDITIONAL FIXTURES.

FINDINGS:

ADC North Central Unit opened in 1990. There was no new construction of housing units after June 2014 (washbasins).

Standard #5-ACI-2C-10 (4-4139-1)

(NEW CONSTRUCTION AFTER JUNE 2014). INMATES HAVE ACCESS TO OPERABLE SHOWERS WITH TEMPERATURE-CONTROLLED HOT AND COLD RUNNING WATER, AT A MINIMUM RATIO OF ONE SHOWER FOR EVERY TWELVE INMATES, UNLESS APPLICABLE CODES REQUIRE ADDITIONAL FIXTURES. WATER FOR SHOWERS IS THERMOSTATICALLY CONTROLLED TO TEMPERATURES RANGING FROM 100 DEGREES FAHRENHEIT TO 120 DEGREES FAHRENHEIT TO ENSURE THE SAFETY OF INMATES AND PROMOTE HYGIENIC PRACTICES.

FINDINGS:

ADC North Central Unit opened in 1990. There was no new construction of housing units after June 2014 (showers).

Standard #5-ACI-2C-12 (4-4143)

WRITTEN POLICY, PROCEDURE, AND PRACTICE, PROVIDE FOR THE ASSIGNMENT OF APPROPRIATELY TRAINED INDIVIDUALS TO ASSIST DISABLED OFFENDERS WHO CANNOT OTHERWISE PERFORM BASIC LIFE FUNCTIONS.

FINDINGS:

ADC North Central Unit does not house inmates who cannot perform basic life functions.

Standard #5-ACI-2D-03 (4-4147)

(EXISTING ONLY). ALL INMATE ROOMS/CELLS PROVIDE ACCESS TO NATURAL LIGHT.

FINDINGS:

ADC North Central Unit was constructed after January 1, 1990, and falls under new construction, not existing. Standard ACI-2D-04 was applied to natural light.

Standard #5-ACI-2D-05 (4-4147-2)

(NEW CONSTRUCTION OR RENOVATION AFTER JUNE 1, 2014). EACH DORMITORY PROVIDES INMATES WITH ACCESS TO NATURAL LIGHT BY MEANS OF AT LEAST 12 SQUARE FEET, PLUS TWO ADDITIONAL SQUARE FEET OF TRANSPARENT GLAZING PER INMATE IN THE DORMITORY.

FINDINGS:

ADC North Central Unit opened in 1990. There was no new construction or renovation of dormitories after June 1, 2014.

Standard #5-ACI-2D-09 (4-4152)

(EXISTING) CIRCULATION IS AT LEAST 10-CUBIC FEET OF FRESH OR RE-CIRCULATED FILTERED AIR PER MINUTE PER OCCUPANT FOR INMATE ROOMS/CELLS, OFFICER STATIONS, AND DINING AREAS, AS DOCUMENTED BY A QUALIFIED TECHNICIAN AND SHOULD BE CHECKED NOT LESS THAN ONCE EVERY 3-YEAR ACCREDITATION CYCLE.

FINDINGS:

ADC North Central Unit was constructed after January 1, 1990, and falls under new construction, not existing. Standard ACI-2D-08 was applied to air circulation.

Standard #5-ACI-2E-02 (4-4155)

(EFFECTIVE NLT JANUARY 1, 2021) SPECIAL MANAGEMENT HOUSING UNITS HAVE EITHER OUTDOOR UNCOVERED OR OUTDOOR COVERED EXERCISE AREAS. THE MINIMUM SPACE REQUIREMENTS FOR OUTDOOR EXERCISE AREAS FOR SPECIAL MANAGEMENT UNITS ARE AS FOLLOWS:

- GROUP AREA: 330-SQUARE FEET OF UNENCUMBERED SPACE CAN ACCOMMODATE TWO INMATES. FOR EACH ADDITIONAL 150-SQUARE FEET OF UNENCUMBERED SPACE, AN ADDITIONAL INMATE MAY USE THE EXERCISE AREA SIMULTANEOUSLY. (FORMULA: FOR EACH 150-SQUARE FEET OF UNENCUMBERED SPACE EXCEEDING THE BASE REQUIREMENT OF 180-SQUARE FEET FOR THE FIRST INMATE EQUALS THE MAXIMUM NUMBER OF INMATES WHO MAY USE THE RECREATION AREA SPACE SIMULTANEOUSLY). NO MORE THAN FIVE INMATES ARE TO USE A GROUP MODULE AT ONE TIME.
- INDIVIDUAL AREAS: 180 SQUARE FEET OF UNENCUMBERED SPACE.

IN CASES WHERE COVER IS NOT PROVIDED TO MITIGATE THE INCLEMENT WEATHER, APPROPRIATE WEATHER-RELATED EQUIPMENT AND ATTIRE SHOULD BE MADE AVAILABLE TO THE INMATES WHO DESIRE TO TAKE ADVANTAGE OF THEIR AUTHORIZED EXERCISE TIME.

FINDINGS:

ADC North Central Unit does not have a special management housing unit.

Standard #5-ACI-3A-07 (4-4181)

WRITTEN POLICY, PROCEDURE, AND PRACTICE REQUIRE THAT WHEN BOTH MALES AND FEMALES ARE HOUSED IN THE FACILITY, AT LEAST ONE MALE AND ONE FEMALE STAFF MEMBER ARE ON DUTY AT ALL TIMES.

FINDINGS:

ADC North Central Unit does not house female inmates.

Standard #5-ACI-3A-17 (4-4190-1)

ADDED AUGUST 2008. WRITTEN POLICY, PROCEDURE, AND PRACTICE, IN GENERAL, PROHIBIT THE USE OF RESTRAINTS ON FEMALE INMATES DURING ACTIVE LABOR AND THE DELIVER OF A CHILD. ANY DEVIATION FROM THE PROHIBITION REQUIRES APPROVAL BY, AND GUIDANCE ON, METHODOLOGY FROM THE MEDIAL AUTHORITY AND IS BASED ON DOCUMENTED SERIOUS SECURITY RISKS.

THE MEDICAL AUTHORITY PROVIDES GUIDANCE ON THE USE OF RESTRAINTS ON PREGNANT INMATES PRIOR TO ACTIVE LABOR AND DELIVERY.

FINDINGS:

ADC North Central Unit does not house female inmates.

Standard #5-ACI-3D-05 (4-4278)

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT MALE AND FEMALE INMATES HOUSED IN THE SAME INSTITUTION HAVE SEPARATE SLEEPING QUARTERS BUT EQUAL ACCESS TO ALL AVAILABLE SERVICES AND PROGRAMS. NEITHER SEX IS DENIED OPPORTUNITIES SOLELY ON THE BASIS OF THEIR SMALLER NUMBER IN THE POPULATION.

FINDINGS:

ADC North Central Unit does not house female inmates.

Standard #5-ACI-4A-02 (4-4140)

SPECIAL MANAGEMENT UNITS PROVIDE LIVING CONDITIONS THAT APPROXIMATE THOSE OF THE GENERAL INMATE POPULATION; ALL EXCEPTIONS ARE CLEARLY DOCUMENTED. SPECIAL MANAGEMENT CELLS/ROOMS PERMIT THE INMATES ASSIGNED TO THEM TO CONVERSE WITH AND BE OBSERVED BY STAFF MEMBERS.

FINDINGS:

ADC North Central Unit does not have a special management unit.

Standard #5-ACI-4A-03 (4-4141)

ALL CELLS/ROOMS IN SPECIAL MANAGEMENT HOUSING PROVIDE A MINIMUM OF 80 SQUARE FEET, AND SHALL PROVIDE 35 SQUARE FEET OF UNENCUMBERED SPACE FOR THE FIRST OCCUPANT AND 25 SQUARE FEET OF UNENCUMBERED SPACE FOR EACH ADDITIONAL OCCUPANT.

FINDINGS:

ADC North Central Unit does not have a special management unit.

Standard #5-ACI-4A-04 (4-4249)

WHEN SPECIAL MANAGEMENT HOUSING UNITS EXIST, WRITTEN POLICY AND PROCEDURE GOVERN THEIR OPERATION FOR THE SUPERVISION OF INMATES UNDER ADMINISTRATIVE SEGREGATION, PROTECTIVE CUSTODY, AND DISCIPLINARY DETENTION.

FINDINGS:

ADC North Central Unit does not have a special management unit.

Standard #5-ACI-4A-05 (4-4251)

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT AN INMATE IS ADMITTED TO THE SEGREGATION UNIT FOR PROTECTIVE CUSTODY ONLY WHEN THERE IS DOCUMENTATION THAT PROTECTIVE CUSTODY IS WARRANTED AND NO REASONABLE ALTERNATIVES ARE AVAILABLE.

FINDINGS:

ADC North Central Unit does not have a special management unit.

Standard #5-ACI-4A-06 (4-4252)

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT AN INMATE IS PLACED IN DISCIPLINARY DETENTION FOR A RULE VIOLATION ONLY AFTER A HEARING BY THE DISCIPLINARY COMMITTEE OR HEARING EXAMINER.

FINDINGS

ADC North Central Unit does not have a special management unit.

Standard #5-ACI-4A-07 (4-4253)

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE FOR A REVIEW OF THE STATUS OF INMATES IN SPECIAL MANAGEMENT HOUSING BY THE CLASSIFICATION COMMITTEE OR OTHER AUTHORIZED STAFF GROUP EVERY SEVEN DAYS FOR THE FIRST TWO MONTHS AND AT LEAST EVERY 30 DAYS THEREAFTER.

FINDINGS:

ADC North Central Unit does not have a special management unit.

Standard #5-ACI-4A-08 (4-4254)

WRITTEN POLICY, PROCEDURE, AND PRACTICE SPECIFY THE REVIEW PROCESS USED TO RELEASE AN INMATE FROM ADMINISTRATIVE SEGREGATION AND PROTECTIVE CUSTODY.

FINDINGS:

ADC North Central Unit does not have a special management unit.

Standard #5-ACI-4A-09 (4-4255)

THERE IS A SANCTIONING SCHEDULE FOR INSTITUTIONAL RULE VIOLATIONS. CONTINUOUS CONFINEMENT FOR MORE THAN 30 DAYS REQUIRES THE REVIEW AND APPROVAL OF THE WARDEN/SUPERINTENDENT OR DESIGNEE. INMATES HELD IN DISCIPLINARY DETENTION FOR PERIODS EXCEEDING 60 DAYS ARE PROVIDED THE SAME PROGRAM SERVICES AND PRIVILEGES AS INMATES IN ADMINISTRATIVE SEGREGATION AND PROTECTIVE CUSTODY.

FINDINGS:

ADC North Central Unit does not have a special management unit.

Standard #5-ACI-4A-10 (4-4256)

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT A QUALIFIED MENTAL HEALTH PROFESSIONAL PERSONALLY INTERVIEWS AND PREPARES A WRITTEN REPORT ON ANY INMATE REMAINING IN SPECIAL MANAGEMENT HOUSING FOR MORE THAN 30 DAYS. IF CONFINEMENT CONTINUES BEYOND 30 DAYS, A MENTAL HEALTH ASSESSMENT BY A QUALIFIED MENTAL HEALTH PROFESSIONAL IS MADE AT LEAST EVERY 30 DAYS FOR INMATES WHO HAVE AN IDENTIFIED MENTAL HEALTH NEED, AND EVERY THREE MONTHS FOR ALL OTHER INMATES - MORE FREQUENTLY IF PRESCRIBED BY THE CHIEF MEDICAL AUTHORITY.

FINDINGS:

ADC North Central Unit does not have a special management unit.

Standard #5-ACI-4A-11 (4-4257)

WRITTEN POLICY, PROCEDURE, AND PRACTICE REQUIRE THAT ALL SPECIAL MANAGEMENT INMATES ARE PERSONALLY OBSERVED BY A CORRECTIONAL OFFICER TWICE PER HOUR, BUT NO MORE THAN 40 MINUTES APART, ON AN IRREGULAR SCHEDULE. INMATES WHO ARE VIOLENT OR MENTALLY DISORDERED OR WHO DEMONSTRATE UNUSUAL OR BIZARRE BEHAVIOR RECEIVE MORE FREQUENT OBSERVATION; SUICIDAL INMATES ARE UNDER CONTINUING OR CONTINUOUS OBSERVATION.

FINDINGS:

ADC North Central Unit does not have a special management unit.

Standard #5-ACI-4A-12 (4-4258)

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT INMATES IN SPECIAL MANAGEMENT HOUSING RECEIVE DAILY VISITS FROM THE SENIOR CORRECTIONAL SUPERVISOR IN CHARGE, DAILY VISITS FROM A QUALIFIED HEALTH CARE OFFICIAL (UNLESS MEDICAL ATTENTION IS NEEDED MORE FREQUENTLY), AND VISITS FROM MEMBERS OF THE PROGRAM STAFF UPON REQUEST.

FINDINGS:

ADC North Central Unit does not have a special management unit.

Standard #5-ACI-4A-13 (4-4259)

WRITTEN POLICY AND PROCEDURE GOVERN THE SELECTION CRITERIA, SUPERVISION, AND ROTATION OF STAFF WHO WORK DIRECTLY WITH INMATES IN SPECIAL MANAGEMENT HOUSING ON A REGULAR AND DAILY BASIS.

FINDINGS:

ADC North Central Unit does not have a special management unit.

Standard #5-ACI-4A-14 (4-4260)

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT STAFF OPERATING SPECIAL MANAGEMENT HOUSING UNITS MAINTAIN A PERMANENT LOG.

FINDINGS:

ADC North Central Unit does not have a special management unit.

Standard #5-ACI-4A-15 (4-4261)

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT ALL INMATES IN SPECIAL MANAGEMENT HOUSING ARE PROVIDED PRESCRIBED MEDICATION, CLOTHING THAT IS NOT DEGRADING, AND ACCESS TO BASIC PERSONAL ITEMS FOR USE IN THEIR CELLS UNLESS THERE IS IMMINENT DANGER THAT AN INMATE OR ANY OTHER INMATE(S) WILL DESTROY AN ITEM OR INDUCE SELF-INJURY.

FINDINGS:

ADC North Central Unit does not have a special management unit.

Standard #5-ACI-4A-16 (4-4262)

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT INMATES IN SPECIAL MANAGEMENT HOUSING HAVE THE OPPORTUNITY TO SHAVE AND SHOWER AT LEAST THREE TIMES PER WEEK.

FINDINGS:

ADC North Central Unit does not have a special management unit.

Standard #5-ACI-4A-17 (4-4263)

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT INMATES IN SPECIAL MANAGEMENT HOUSING RECEIVE LAUNDRY, BARBERING, AND HAIR CARE SERVICES AND ARE ISSUED AND EXCHANGE CLOTHING, BEDDING, AND LINEN ON THE SAME BASIS AS INMATES IN THE GENERAL POPULATION. EXCEPTIONS ARE PERMITTED ONLY WHEN FOUND NECESSARY BY THE SENIOR OFFICER ON DUTY; ANY EXCEPTION IS RECORDED IN THE UNIT LOG AND JUSTIFIED IN WRITING.

FINDINGS:

ADC North Central Unit does not have a special management unit.

Standard #5-ACI-4A-18 (4-4264)

ALTERNATIVE MEAL SERVICE MAY BE PROVIDED TO AN INMATE IN SPECIAL MANAGEMENT HOUSING WHO USES FOOD OR FOOD SERVICE EQUIPMENT IN A MANNER THAT IS HAZARDOUS TO SELF, STAFF, OR OTHER INMATES.

ALTERNATIVE MEAL SERVICE IS ON AN INDIVIDUAL BASIS, IS BASED ON HEALTH OR SAFETY CONSIDERATIONS ONLY, MEETS BASIC NUTRITIONAL REQUIREMENTS, AND OCCURS WITH THE WRITTEN APPROVAL OF THE WARDEN/SUPERINTENDENT OR DESIGNEE AND RESPONSIBLE HEALTH AUTHORITY. THE SUBSTITUTION PERIOD SHALL NOT EXCEED SEVEN DAYS.

FINDINGS:

ADC North Central Unit does not have a special management unit.

Standard #5-ACI-4A-19 (4-4265)

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT WHENEVER AN INMATE IN SPECIAL MANAGEMENT HOUSING IS DEPRIVED OF ANY USUALLY AUTHORIZED ITEM OR ACTIVITY, A REPORT OF THE ACTION IS FILED IN THE INMATE'S CASE RECORD AND FORWARDED TO THE CHIEF SECURITY OFFICER.

FINDINGS:

ADC North Central Unit does not have a special management unit.

Standard #5-ACI-4A-20 (4-4266)

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT INMATES IN SPECIAL MANAGEMENT HOUSING CAN WRITE AND RECEIVE LETTERS ON THE SAME BASIS AS INMATES IN THE GENERAL POPULATION.

FINDINGS:

ADC North Central Unit does not have a special management unit.

Standard #5-ACI-4A-21 (4-4267)

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT INMATES IN SPECIAL MANAGEMENT HOUSING HAVE OPPORTUNITIES FOR VISITATION UNLESS THERE ARE SUBSTANTIAL REASONS FOR WITHHOLDING SUCH PRIVILEGES.

FINDINGS:

ADC North Central Unit does not have a special management unit.

Standard #5-ACI-4A-22 (4-4268)

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT INMATES IN SPECIAL MANAGEMENT HOUSING HAVE ACCESS TO LEGAL MATERIALS.

FINDINGS:

ADC North Central Unit does not have a special management unit.

Standard #5-ACI-4A-23 (4-4269)

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT INMATES IN SPECIAL MANAGEMENT HOUSING HAVE ACCESS TO READING MATERIALS.

FINDINGS:

ADC North Central Unit does not have a special management unit.

Standard #5-ACI-4A-24 (4-4270)

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT INMATES IN SPECIAL MANAGEMENT HOUSING RECEIVE A MINIMUM OF ONE HOUR OF EXERCISE PER DAY OUTSIDE THEIR CELLS, FIVE DAYS PER WEEK, UNLESS SECURITY OR SAFETY CONSIDERATIONS DICTATE OTHERWISE.

FINDINGS:

ADC North Central Unit does not have a special management unit.

Standard #5-ACI-4A-25 (4-4271)

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT INMATES IN ADMINISTRATIVE SEGREGATION AND PROTECTIVE CUSTODY ARE ALLOWED TELEPHONE PRIVILEGES.

FINDINGS:

ADC North Central Unit does not have a special management unit.

Standard #5-ACI-4A-26 (4-4272)

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT AN INMATE IN DISCIPLINARY STATUS IS ALLOWED LIMITED TELEPHONE PRIVILEGES UNLESS PHONE RESTRICTIONS HAVE BEEN INVOKED BY THE WARDEN/SUPERINTENDENT OR DESIGNEE. RESTRICTIONS WOULD NOT APPLY TO CALLS RELATED SPECIFICALLY TO ACCESS TO THE ATTORNEY OF RECORD.

FINDINGS:

ADC North Central Unit does not have a special management unit.

Standard #5-ACI-4A-27 (4-4273)

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT INMATES IN ADMINISTRATIVE SEGREGATION AND PROTECTIVE CUSTODY HAVE ACCESS TO PROGRAMS AND SERVICES THAT INCLUDE, BUT ARE NOT LIMITED TO, THE FOLLOWING: EDUCATIONAL SERVICES, COMMISSARY SERVICES, LIBRARY SERVICES, SOCIAL SERVICES, COUNSELING SERVICES, RELIGIOUS GUIDANCE, AND RECREATIONAL PROGRAMS.

FINDINGS:

ADC North Central Unit does not have a special management unit.

Standard #5-ACI-4B-26

(EFFECTIVE NLT OCTOBER 1, 2020) WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT INMATES IN EXTENDED RESTRICTIVE HOUSING HAVE ACCESS TO PROGRAMS AND SERVICES THAT INCLUDE, BUT ARE NOT LIMITED TO THE FOLLOWING: EDUCATIONAL SERVICES, COMMISSARY SERVICES, LIBRARY SERVICES, SOCIAL SERVICES, BEHAVIORAL HEALTH AND TREATMENT SERVICES, RELIGIOUS GUIDANCE, AND RECREATIONAL PROGRAMS.

FINDINGS:

ADC North Central Unit does not have an extended restrictive housing status. If needed, inmates are transferred to a unit that can accommodate them.

Standard #5-ACI-4B-31

(EFFECTIVE NLT OCTOBER 1, 2020) WRITTEN POLICY, PROCEDURE, AND PRACTICE REQUIRE THAT STEP DOWN PROGRAMS ARE OFFERED TO EXTENDED RESTRICTIVE HOUSING INMATES TO FACILITATE THE REINTEGRATION OF THE INMATE INTO GENERAL POPULATION OR THE COMMUNITY. THESE PROGRAMS SHALL INCLUDE, AT A MINIMUM, THE FOLLOWING:

- PRE-SCREENING EVALUATION
- MONTHLY EVALUATIONS USING A MULTIDISCIPLINARY APPROACH TO DETERMINE THE INMATE'S COMPLIANCE WITH PROGRAM REQUIREMENTS
- SUBJECT TO MONTHLY EVALUATIONS; TO GRADUALLY INCREASING OUT-OF-CELL TIME TO GRADUALLY INCREASING GROUP INTERACTION TO GRADUALLY INCREASING EDUCATION AND PROGRAMMING OPPORTUNITIES TO GRADUALLY INCREASING PRIVILEGES
- A STEP-DOWN TRANSITION COMPLIANCE REVIEW
- POST-SCREENING EVALUATION

*SEE DEFINITION FOR MULTIDISCIPLINARY SERVICES TEAM AND MULTIDISCIPLINARY TREATMENT TEAMS

**DEFINITION OF STEP-DOWN PROGRAM: A PROGRAM THAT INCLUDES A SYSTEM OF REVIEW AND ESTABLISHES CRITERIA TO PREPARE AN INMATE FOR TRANSITION TO GENERAL POPULATION OR THE COMMUNITY. INDIVIDUALIZED PROGRAMS INVOLVE A COORDINATED, MULTIDISCIPLINARY TEAM APPROACH THAT INCLUDES MENTAL HEALTH, CASE MANAGEMENT, AND SECURITY PRACTITIONERS. MEDICAL PERSONNEL WILL BE PART OF THE MULTIDISCIPLINARY TEAM WHEN INMATES WHO HAVE CHRONIC CARE OR OTHER SIGNIFICANT MEDICAL ACCOMMODATION NEEDS PARTICIPATE IN THIS PROGRAM.

FINDINGS:

ADC North Central Unit does not have an extended restrictive housing status. If needed, inmates are transferred to a unit that can accommodate them.

Standard #5-ACI-4B-32

(EFFECTIVE NLT OCTOBER 1, 2020) FEMALE INMATES DETERMINED TO BE PREGNANT WILL NOT BE HOUSED IN EXTENDED RESTRICTIVE HOUSING.

FINDINGS:

ADC North Central Unit does not house female inmates.

Standard #5-ACI-4B-33

(EFFECTIVE NLT OCTOBER 1, 2020) CONFINEMENT OF OFFENDERS UNDER THE AGE OF 18 YEARS OF AGE IN EXTENDED RESTRICTIVE HOUSING IS PROHIBITED.

FINDINGS:

In accordance with Arkansas Code Title 9. Family Law 9-27-510. Department of Correction Placement, ADC North Central Unit only houses inmates convicted as adults over the age of sixteen. (b) A juvenile sentenced in the criminal division of circuit court who is less than sixteen (16) years of age when sentenced shall be committed to the custody of the Division of Youth Services until his or her sixteenth birthday, at which time he or she shall be transferred to the Department of Correction.

ADC North Central Unit does not have an extended restrictive housing status.

Standard #5-ACI-5A-01 (4-4285)

WRITTEN POLICIES AND PROCEDURES GOVERN THE ADMISSION OF INMATES NEW TO THE SYSTEM. THESE PROCEDURES INCLUDE AT A MINIMUM THE FOLLOWING:

- DETERMINATION THAT THE INMATE IS LEGALLY COMMITTED TO THE INSTITUTION
- THOROUGH SEARCH OF THE INDIVIDUAL AND POSSESSIONS
- DISPOSING OF PERSONAL PROPERTY
- SHOWERING AND HAIR CARE, IF NECESSARY
- ISSUING OF CLEAN, LAUNDERED CLOTHING AS NEEDED
- PHOTOGRAPHING AND FINGERPRINTING, INCLUDING NOTATION OF IDENTIFYING MARKS OR OTHER UNUSUAL PHYSICAL CHARACTERISTICS
- MEDICAL, DENTAL, AND MENTAL HEALTH SCREENING
- ASSIGNING TO HOUSING UNIT
- RECORDING BASIC PERSONAL DATA AND INFORMATION TO BE USED FOR MAIL AND VISITING LIST
- EXPLAINING OF MAIL AND VISITING PROCEDURES
- ASSISTING INMATES IN NOTIFYING THEIR NEXT OF KIN AND FAMILIES OF ADMISSION
- ASSIGNING OF REGISTERED NUMBER TO THE INMATE
- GIVING WRITTEN ORIENTATION MATERIALS TO THE INMATE

- DOCUMENTING ANY RECEPTION AND ORIENTATION PROCEDURE COMPLETED AT A CENTRAL RECEPTION FACILITY

FINDINGS:

ADC North Central Unit is not an intake facility.

Standard #5-ACI-5A-02 (4-4286)

WRITTEN POLICY, PROCEDURE, AND PRACTICE REQUIRE THE PREPARATION OF A SUMMARY ADMISSION REPORT FOR ALL NEW ADMISSIONS. THE REPORT INCLUDES AT A MINIMUM THE FOLLOWING INFORMATION:

- LEGAL ASPECTS OF THE CASE
- SUMMARY OF CRIMINAL HISTORY, IF ANY
- SOCIAL HISTORY
- MEDICAL, DENTAL, AND MENTAL HEALTH HISTORY
- OCCUPATIONAL EXPERIENCE AND INTERESTS
- EDUCATIONAL STATUS AND INTERESTS
- VOCATIONAL PROGRAMMING
- RECREATIONAL PREFERENCE AND NEEDS ASSESSMENT
- PSYCHOLOGICAL EVALUATION
- STAFF RECOMMENDATIONS
- PRE-INSTITUTIONAL ASSESSMENT INFORMATION

FINDINGS:

ADC North Central Unit is not an intake facility.

Standard #5-ACI-5A-03 (4-4287)

REVISED JANUARY 2006. WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE FOR A RECEPTION PROGRAM FOR NEW INMATES UPON ADMISSION TO THE CORRECTIONAL SYSTEM. EXCEPT IN UNUSUAL CIRCUMSTANCE, INITIAL RECEPTION AND ORIENTATION OF INMATES IS COMPLETED WITHIN 30 CALENDAR DAYS AFTER ADMISSION.

FINDINGS:

ADC North Central Unit is not an intake facility.

Standard #5-ACI-5B-13 (4-4307)

IF YOUTHFUL OFFENDERS ARE HOUSED IN THE FACILITY, WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT THEY ARE HOUSED IN A SPECIALIZED UNIT FOR YOUTHFUL OFFENDERS EXCEPT WHEN:

- A VIOLENT, PREDATORY YOUTHFUL OFFENDER POSES AN UNDUE RISK OF HARM TO OTHERS WITHIN THE SPECIALIZED UNIT
- A QUALIFIED MEDICAL OR MENTAL-HEALTH SPECIALIST DOCUMENTS THAT THE YOUTHFUL OFFENDER WOULD BENEFIT FROM PLACEMENT OUTSIDE THE UNIT.

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE FOR THE PREPARATION OF A WRITTEN STATEMENT OF THE SPECIFIC REASONS FOR HOUSING A YOUTHFUL OFFENDER OUTSIDE THE SPECIALIZED UNIT AND A CASE-MANAGEMENT PLAN SPECIFYING WHAT BEHAVIORS NEED TO BE MODIFIED AND HOW THE YOUTHFUL OFFENDER MAY RETURN TO THE UNIT.

THE STATEMENT OF REASONS AND CASE-MANAGEMENT PLAN MUST BE APPROVED BY THE WARDEN OR HIS OR HER DESIGNEE. CASES ARE REVIEWED AT LEAST QUARTERLY BY THE CASE MANAGER, THE WARDEN OR HIS OR HER DESIGNEE, AND THE YOUTHFUL OFFENDER TO DETERMINE WHETHER A YOUTHFUL OFFENDER SHOULD BE RETURNED TO THE SPECIALIZED UNIT.

FINDINGS:

ADC North Central Unit does not house Youthful Offenders in accordance with AR Code 9-27-510.

Reference Arkansas Code, Title 9-Family Law, Subtitle 3-Minors, Chapter 27-Juveniles Courts and Proceedings, Subchapter 5-Extended Juvenile Jurisdiction. AR Code 9-27-510 Department of Correction-Placement (a) (1) A juvenile who has received an adult sentence to the Department of Correction shall not be transported to the Department of correction until the juvenile is sixteen (16) years of age. (2) If a juvenile receives a sentence to the Department of Correction prior to the juvenile's sixteenth birthday, the juvenile shall be housed by the Division of Youth Services of the Department of Human Services until that date, except as provided by court order or parole decision made by the Post Prison Transfer Board.

Standard #5-ACI-5B-14 (4-4308)

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE FOR THE DIRECT SUPERVISION OF YOUTHFUL OFFENDERS HOUSED IN THE SPECIALIZED UNIT TO ENSURE SAFETY AND SECURITY.

FINDINGS:

ADC North Central Unit does not house Youthful Offenders in accordance with AR Code 9-27-510.

Reference Arkansas Code, Title 9-Family Law, Subtitle 3-Minors, Chapter 27-Juveniles Courts and Proceedings, Subchapter 5-Extended Juvenile Jurisdiction. AR Code 9-27-510 Department of Correction-Placement (a) (1) A juvenile who has received an adult sentence to the Department of Correction shall not be transported to the Department of correction until the juvenile is sixteen (16) years of age. (2) If a juvenile receives a sentence to the Department of Correction prior to the juvenile's sixteenth birthday, the juvenile shall be housed by the Division of Youth Services of the Department of Human Services until that date, except as provided by court order or parole decision made by the Post Prison Transfer Board.

Standard #5-ACI-5B-15 (4-4309)

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE FOR CLASSIFICATION PLANS FOR YOUTHFUL OFFENDERS THAT DETERMINE LEVEL OF RISK AND PROGRAM NEEDS DEVELOPMENTALLY APPROPRIATE FOR ADOLESCENTS. CLASSIFICATION PLANS SHALL INCLUDE CONSIDERATION OF PHYSICAL, MENTAL, SOCIAL, AND EDUCATIONAL MATURITY OF THE YOUTHFUL OFFENDER.

FINDINGS:

ADC North Central Unit does not house Youthful Offenders in accordance with AR Code 9-27-510.

Reference Arkansas Code, Title 9-Family Law, Subtitle 3-Minors, Chapter 27-Juveniles Courts and Proceedings, Subchapter 5-Extended Juvenile Jurisdiction. AR Code 9-27-510 Department of Correction-Placement (a) (1) A juvenile who has received an adult sentence to the Department of Correction shall not be transported to the Department of correction until the juvenile is sixteen (16) years of age. (2) If a juvenile receives a sentence to the Department of Correction prior to the juvenile's sixteenth birthday, the juvenile shall be housed by the Division of Youth Services of the Department of Human Services until that date, except as provided by court order or parole decision made by the Post Prison Transfer Board.

Standard #5-ACI-5B-16 (4-4310)

WRITTEN POLICY, PROCEDURE, AND PRACTICE REQUIRE THAT ADEQUATE PROGRAM SPACE BE PROVIDED TO MEET THE PHYSICAL, SOCIAL, AND EMOTIONAL NEEDS OF YOUTHFUL OFFENDER AND ALLOWS FOR THEIR PERSONAL INTERACTIONS AND GROUP-ORIENTED ACTIVITIES.

FINDINGS:

ADC North Central Unit does not house Youthful Offenders in accordance with AR Code 9-27-510.

Reference Arkansas Code, Title 9-Family Law, Subtitle 3-Minors, Chapter 27-Juveniles Courts and Proceedings, Subchapter 5-Extended Juvenile Jurisdiction. AR Code 9-27-510 Department of Correction-Placement (a) (1) A juvenile who has received an adult sentence to the Department of Correction shall not be transported to the Department of correction until the juvenile is sixteen (16) years of age. (2) If a juvenile receives a sentence to the Department of Correction prior to the juvenile's sixteenth birthday, the juvenile shall be housed by the Division of Youth Services of the Department of Human Services until that date, except as provided by court order or parole decision made by the Post Prison Transfer Board.

Standard #5-ACI-5B-17 (4-4311)

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT YOUTHFUL OFFENDERS IN THE SPECIALIZED UNIT FOR YOUTHFUL OFFENDERS HAVE NO MORE THAN INCIDENTAL SIGHT OR SOUND CONTACT WITH ADULT OFFENDERS FROM OUTSIDE THE UNIT IN LIVING, PROGRAM, DINING, OR OTHER COMMON AREAS OF THE FACILITY. ANY OTHER SIGHT OR SOUND CONTACT IS MINIMIZED, BRIEF, AND IN CONFORMANCE WITH APPLICABLE LEGAL REQUIREMENTS.

FINDINGS:

ADC North Central Unit does not house Youthful Offenders in accordance with AR Code 9-27-510.

Reference Arkansas Code, Title 9-Family Law, Subtitle 3-Minors, Chapter 27-Juveniles Courts and Proceedings, Subchapter 5-Extended Juvenile Jurisdiction. AR Code 9-27-510 Department of Correction-Placement (a) (1) A juvenile who has received an adult sentence to the Department of Correction shall not be transported to the Department of correction until the juvenile is sixteen (16) years of age. (2) If a juvenile receives a sentence to the Department of Correction prior to the juvenile's sixteenth birthday, the juvenile shall be housed by the Division of Youth Services of the Department of Human Services until that date, except as provided by court order or parole decision made by the Post Prison Transfer Board.

Standard #5-ACI-5B-18 (4-4312)

WRITTEN POLICY, PROCEDURE, AND PRACTICE REQUIRE THAT PROGRAM PERSONNEL WHO WORK WITH YOUTHFUL OFFENDERS FROM THE SPECIALIZED UNIT BE TRAINED IN THE DEVELOPMENTAL, SAFETY, AND OTHER SPECIFIC NEEDS OF YOUTHFUL OFFENDERS. WRITTEN JOB DESCRIPTIONS AND QUALIFICATIONS REQUIRE TRAINING FOR STAFF SPECIFICALLY ASSIGNED TO THE UNIT OR STAFF WHO ARE RESPONSIBLE FOR PROGRAMMING OF YOUTHFUL OFFENDERS IN THE SPECIALIZED UNIT BEFORE BEING ASSIGNED TO WORK WITH YOUTHFUL OFFENDERS.

THE TRAINING SHOULD INCLUDE BUT NOT BE LIMITED TO THE FOLLOWING AREAS:

- ADOLESCENT DEVELOPMENT
- EDUCATIONAL PROGRAMMING
- CULTURAL AWARENESS
- CRISIS PREVENTION AND INTERVENTION
- LEGAL ISSUES
- HOUSING AND PHYSICAL PLANT
- POLICIES AND PROCEDURES
- THE MANAGEMENT OF, AND PROGRAMMING FOR, SEX OFFENDERS
- SUBSTANCE-ABUSE SERVICES
- COGNITIVE-BEHAVIORAL INTERVENTIONS, INCLUDING ANGER MANAGEMENT, SOCIAL SKILLS TRAINING, PROBLEM SOLVING, AND RESISTING PEER PRESSURE
- SUICIDE PREVENTION
- NUTRITION
- MENTAL-HEALTH ISSUES
- GENDER-SPECIFIC ISSUES
- CASE-MANAGEMENT PLANNING AND IMPLEMENTATION

FINDINGS:

ADC North Central Unit does not house Youthful Offenders in accordance with AR Code 9-27-510.

Reference Arkansas Code, Title 9-Family Law, Subtitle 3-Minors, Chapter 27-Juveniles Courts and Proceedings, Subchapter 5-Extended Juvenile Jurisdiction. AR Code 9-27-510 Department of Correction-Placement (a) (1) A juvenile who has received an adult sentence to the Department of Correction shall not be transported to the Department of correction until the juvenile is sixteen (16) years of age. (2) If a juvenile receives a sentence to the Department of Correction prior to the juvenile's sixteenth birthday, the juvenile shall be housed by the Division of Youth Services of the Department of Human Services until that date, except as provided by court order or parole decision made by the Post Prison Transfer Board.

Standard #5-ACI-5E-10 (4-4436)

WRITTEN POLICY, PROCEDURE, AND PRACTICE REQUIRE THAT COMPREHENSIVE COUNSELING AND ASSISTANCE ARE PROVIDED TO PREGNANT INMATES IN KEEPING WITH THEIR EXPRESSED DESIRES IN PLANNING FOR THEIR UNBORN CHILDREN.

FINDINGS:

ADC North Central Unit does not house female inmates.

Standard #5-ACI-5E-11 (4-4437)

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE FOR SUBSTANCE ABUSE PROGRAMS, TO INCLUDE MONITORING AND DRUG TESTING FOR INMATES WITH DRUG AND ALCOHOL ADDICTION PROBLEMS.

FINDINGS:

“This standard is not applicable to North Central Unit as this facility does not have a Substance Abuse Treatment Program.”

Standard #5-ACI-5E-12 (4-4438)

WHERE A SUBSTANCE USE DISORDER TREATMENT PROGRAM EXISTS, WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT THE PROGRAM HAS A WRITTEN TREATMENT PHILOSOPHY WITHIN THE CONTEXT OF THE TOTAL CORRECTIONS SYSTEM, AS WELL AS GOALS AND MEASURABLE OBJECTIVES. THESE DOCUMENTS ARE REVIEWED AT LEAST ANNUALLY AND UPDATED, AS NEEDED.

FINDINGS:

A substance use disorder treatment program does not exist at ADC North Central Unit.

Standard #5-ACI-5E-13 (4-4439)

WHERE A SUBSTANCE USE DISORDER TREATMENT PROGRAM EXISTS, WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE FOR AN APPROPRIATE RANGE OF PRIMARY TREATMENT SERVICES FOR ALCOHOL AND OTHER DRUG ABUSING INMATES THAT INCLUDE, AT A MINIMUM, THE FOLLOWING:

- INMATE DIAGNOSIS
- IDENTIFIED PROBLEM AREAS
- INDIVIDUAL TREATMENT OBJECTIVES
- TREATMENT GOALS
- COUNSELING NEEDS
- DRUG EDUCATION PLAN
- RELAPSE PREVENTION AND MANAGEMENT
- CULTURALLY SENSITIVE TREATMENT OBJECTIVES, AS APPROPRIATE
- THE PROVISION OF SELF-HELP GROUPS AS AN ADJUNCT TO TREATMENT
- PRERELEASE AND TRANSITIONAL SERVICE NEEDS

COORDINATION EFFORTS WITHIN COMMUNITY SUPERVISION AND TREATMENT STAFF DURING THE PRERELEASE PHASE TO ENSURE A CONTINUUM OF SUPERVISION AND TREATMENT

FINDINGS:

A substance use disorder treatment program does not exist at ADC North Central Unit.

Standard #5-ACI-5E-14 (4-4440)

WHERE A SUBSTANCE USE DISORDER TREATMENT PROGRAM EXISTS, WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT THE FACILITY USES A COORDINATED STAFF APPROACH TO DELIVER TREATMENT SERVICES. THIS APPROACH TO SERVICE DELIVERY SHALL BE DOCUMENTED IN TREATMENT PLANNING CONFERENCES AND INDIVIDUAL TREATMENT FILES.

FINDINGS:

A substance use disorder treatment program does not exist at ADC North Central Unit.

Standard #5-ACI-5E-15 (4-4441)

WHERE A SUBSTANCE USE DISORDER TREATMENT PROGRAM EXISTS, WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE INCENTIVES FOR TARGETED TREATMENT PROGRAMS TO INCREASE AND MAINTAIN THE INMATE'S MOTIVATION FOR TREATMENT.

FINDINGS:

A substance use disorder treatment program does not exist at ADC North Central Unit.

Standard #5-ACI-5F-08

(EFFECTIVE NLT OCTOBER 1, 2020) WHERE A FACILITATED VICTIM OFFENDER DIALOGUE PROGRAM EXISTS, WRITTEN POLICY, PROCEDURE AND PRACTICE PROVIDE THAT THERE IS A PROGRAM INITIATED AND REQUESTED ONLY BY A VICTIM OR VICTIM/SURVIVOR THAT PROVIDES AN OPPORTUNITY FOR SUCH VICTIMS OR SURVIVORS TO MEET FACE-TO-FACE OR BY OTHER MEANS WITH THE INMATE RESPONSIBLE FOR THEIR VICTIMIZATION IN A SAFE, SECURE, AND CONFIDENTIAL SETTING AFTER THOROUGH PREPARATION WITH, AND WITH THE ASSISTANCE OF A PROPERLY TRAINED FACILITATOR.

FINDINGS:

ADC North Central Unit does not have a facilitated victim offender dialogue program.

Standard #5-ACI-6A-11 (4-4353-1)

WHERE NURSING INFANTS ARE ALLOWED TO REMAIN WITH THEIR MOTHERS, PROVISIONS ARE MADE FOR A NURSERY, STAFFED BY QUALIFIED PERSONS, WHERE THE INFANTS ARE PLACED WHEN THEY ARE NOT IN THE CARE OF THEIR MOTHERS.

FINDINGS:

ADC North Central Unit does not house female inmates.

Standard #5-ACI-6A-23 (4-4363-1)

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE FOR EARLY IDENTIFICATION AND TREATMENT OF OFFENDERS WITH ALCOHOL AND DRUG ABUSE PROBLEMS THROUGH A STANDARDIZED BATTERY ASSESSMENT. THE BATTERY SHALL BE DOCUMENTED AND INCLUDE AT A MINIMUM THE FOLLOWING:

- SCREENING AND SORTING
- CLINICAL ASSESSMENT AND REASSESSMENT
- MEDICAL ASSESSMENT FOR APPROPRIATE DRUG AND ALCOHOL PROGRAM ASSIGNMENT TO THE NEEDS OF THE INDIVIDUAL INMATES
- REFERRALS

FINDINGS:

Alcohol and drug abuse assessment is completed at the intake facility. ADC North Central Unit only provides self-study programs.

Standard #5-ACI-6A-24 (4-4364)

ALL IN-TRANSIT OFFENDERS RECEIVE HEALTH SCREENINGS HEALTH-TRAINED OR QUALIFIED HEALTH CARE PERSONNEL UPON ENTRY INTO THE AGENCY SYSTEM. FINDINGS ARE RECORDED ON A SCREENING FORM THAT WILL ACCOMPANY THE OFFENDER TO ALL SUBSEQUENT FACILITIES UNTIL THE OFFENDER REACHES HIS OR HER FINAL DESTINATION. HEALTH SCREENS WILL BE REVIEWED AT EACH FACILITY BY THE HEALTH-TRAINED OR QUALIFIED HEALTH CARE PERSONNEL. PROCEDURES WILL BE IN PLACE FOR CONTINUITY OF CARE.

FINDINGS:

ADC North Central Unit does not receive or house in-transit inmates.

Standard #5-ACI-6A-37 (4-4374)

OFFENDERS WITH SEVERE MENTAL ILLNESS OR WHO ARE SEVERELY DEVELOPMENTALLY DISABLED RECEIVE A MENTAL HEALTH EVALUATION AND, WHERE APPROPRIATE, ARE REFERRED FOR PLACEMENT IN NONCORRECTIONAL FACILITIES OR IN UNITS SPECIALLY DESIGNATED FOR HANDLING THIS TYPE OF INDIVIDUAL.

FINDINGS:

ADC North Central Unit does not house inmates with severe mental illness or who are severely developmentally disabled.

Standard #5-ACI-6B-04 (4-4383)

REVISED JANUARY 2006. WHEN INSTITUTIONS DO NOT HAVE QUALIFIED HEALTH CARE STAFF, HEALTH TRAINED PERSONNEL COORDINATE THE HEALTH DELIVERY SERVICES IN THE INSTITUTION UNDER THE JOINT SUPERVISION OF THE RESPONSIBLE HEALTH AUTHORITY AND WARDEN OR SUPERINTENDENT.

FINDINGS:

ADC North Central Unit has qualified health care staff on-site 24 hours a day, 7 days a week.

Standard #5-ACI-6B-10 (4-4391)

IF VOLUNTEERS ARE USED IN THE DELIVERY OF HEALTH CARE THERE IS A DOCUMENTED SYSTEM FOR SELECTION, TRAINING, STAFF SUPERVISION, FACILITY ORIENTATION, AND A DEFINITION OF TASKS, RESPONSIBILITIES, AND AUTHORITY THAT IS APPROVED BY THE HEALTH AUTHORITY. VOLUNTEERS MAY ONLY PERFORM DUTIES CONSISTENT WITH THEIR CREDENTIALS AND TRAINING. VOLUNTEERS AGREE IN WRITING TO ABIDE BY ALL FACILITY POLICIES, INCLUDING THOSE RELATING TO THE SECURITY AND CONFIDENTIALITY OF INFORMATION.

FINDINGS:

ADC North Central Unit does not allow for the use of volunteers in the delivery of health care services.

Standard #5-ACI-6B-11 (4-4392)

ANY STUDENTS, INTERNS, OR RESIDENTS DELIVERING HEALTH CARE IN THE FACILITY, AS PART OF A FORMAL TRAINING PROGRAM, WORK UNDER STAFF SUPERVISION, COMMENSURATE WITH THEIR LEVEL OF TRAINING. THERE IS A WRITTEN AGREEMENT BETWEEN THE FACILITY AND TRAINING, OR EDUCATIONAL FACILITY THAT COVERS THE SCOPE OF WORK, LENGTH OF AGREEMENT, AND ANY LEGAL OR LIABILITY ISSUES. STUDENTS OR INTERNS AGREE IN WRITING TO ABIDE BY ALL FACILITY POLICIES, INCLUDING THOSE RELATING TO THE SECURITY AND CONFIDENTIALITY OF INFORMATION.

FINDINGS:

ADC North Central Unit does not utilize students, interns, or residents in the delivery of health care services.

Standard #5-ACI-7A-08 (4-4456)

WHERE AN INDUSTRIES PROGRAM EXISTS, THERE WILL BE A STATUTE AND/OR WRITTEN POLICY AND PROCEDURE THAT AUTHORIZES THE ESTABLISHMENT OF AN INDUSTRIES PROGRAM AND DELINEATES THE AREAS OF AUTHORITY, RESPONSIBILITY, AND ACCOUNTABILITY FOR THE PROGRAM.

INTERPRETATION JANUARY 2002. THIS STANDARD IS APPLICABLE TO ALL FACILITIES. FOR RECEPTION AND DIAGNOSTIC CENTERS, THE STANDARD ONLY APPLIES AS FOLLOWS:

1. TO RECEPTION AND DIAGNOSTIC CENTERS WITH AN AVERAGE INMATE LENGTH OF STAY OF 90 DAYS OR LONGER.
2. TO RECEPTION AND DIAGNOSTIC CENTERS WITH A CADRE OF INMATES WHO ARE EXPECTED TO SERVE MORE THAN 90 DAYS OF CONFINEMENT WITHIN THE FACILITY OR FOR THOSE SENTENCED INMATES AWAITING TRANSFER TO ANOTHER FACILITY WHOSE STAY EXCEEDS 90 DAYS.

FINDINGS:

ADC North Central Unit does not have an industries program.

Standard #5-ACI-7A-09 (4-4457)

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT THE SECURITY AND PROGRAM DETERMINATIONS NECESSARY FOR ANY INDIVIDUAL TO BE ELIGIBLE FOR INDUSTRIES WORK ARE MADE BY THE CLASSIFICATION COMMITTEE.

INTERPRETATION JANUARY 2002. THIS STANDARD IS APPLICABLE TO ALL FACILITIES. FOR RECEPTION AND DIAGNOSTIC CENTERS, THE STANDARD ONLY APPLIES AS FOLLOWS:

1. TO RECEPTION AND DIAGNOSTIC CENTERS WITH AN AVERAGE INMATE LENGTH OF STAY OF 90 DAYS OR LONGER.
2. TO RECEPTION AND DIAGNOSTIC CENTERS WITH A CADRE OF INMATES WHO ARE EXPECTED TO SERVE MORE THAN 90 DAYS OF CONFINEMENT WITHIN THE FACILITY OR FOR THOSE SENTENCED INMATES AWAITING TRANSFER TO ANOTHER FACILITY WHOSE STAY EXCEEDS 90 DAYS.

FINDINGS:

ADC North Central Unit does not have an industries program.

Standard #5-ACI-7A-10 (4-4458)

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT THE NUMBER OF INMATES ASSIGNED TO INDUSTRIES OPERATIONS MEET THE REALISTIC WORKLOAD NEEDS OF EACH INDUSTRIES OPERATING UNIT.

INTERPRETATION JANUARY 2002. THIS STANDARD IS APPLICABLE TO ALL FACILITIES. FOR RECEPTION AND DIAGNOSTIC CENTERS, THE STANDARD ONLY APPLIES AS FOLLOWS:

1. TO RECEPTION AND DIAGNOSTIC CENTERS WITH AN AVERAGE INMATE LENGTH OF STAY OF 90 DAYS OR LONGER.
2. TO RECEPTION AND DIAGNOSTIC CENTERS WITH A CADRE OF INMATES WHO ARE EXPECTED TO SERVE MORE THAN 90 DAYS OF CONFINEMENT WITHIN THE FACILITY OR FOR THOSE SENTENCED INMATES AWAITING TRANSFER TO ANOTHER FACILITY WHOSE STAY EXCEEDS 90 DAYS.

FINDINGS:

ADC North Central Unit does not have an industries program.

Standard #5-ACI-7A-11 (4-4459)

EACH INDUSTRIES OPERATING UNIT HAS A WRITTEN QUALITY CONTROL PROCEDURE THAT PROVIDES FOR RAW MATERIAL, IN PROCESS, AND FINAL PRODUCT INSPECTION.

INTERPRETATION JANUARY 2002. THIS STANDARD IS APPLICABLE TO ALL FACILITIES. FOR RECEPTION AND DIAGNOSTIC CENTERS, THE STANDARD ONLY APPLIES AS FOLLOWS:

1. TO RECEPTION AND DIAGNOSTIC CENTERS WITH AN AVERAGE INMATE LENGTH OF STAY OF 90 DAYS OR LONGER.
2. TO RECEPTION AND DIAGNOSTIC CENTERS WITH A CADRE OF INMATES WHO ARE EXPECTED TO SERVE MORE THAN 90 DAYS OF CONFINEMENT WITHIN THE FACILITY OR FOR THOSE SENTENCED INMATES AWAITING TRANSFER TO ANOTHER FACILITY WHOSE STAY EXCEEDS 90 DAYS.

FINDINGS:

ADC North Central Unit does not have an industries program.

Standard #5-ACI-7A-12 (4-4460)

A COST ACCOUNTING SYSTEM FOR EACH OPERATING INDUSTRIES UNIT IS DESIGNED, IMPLEMENTED, AND MAINTAINED IN ACCORDANCE WITH GENERALLY ACCEPTED ACCOUNTING PRINCIPLES.

INTERPRETATION JANUARY 2002. THIS STANDARD IS APPLICABLE TO ALL FACILITIES. FOR RECEPTION AND DIAGNOSTIC CENTERS, THE STANDARD ONLY APPLIES AS FOLLOWS:

1. TO RECEPTION AND DIAGNOSTIC CENTERS WITH AN AVERAGE INMATE LENGTH OF STAY OF 90 DAYS OR LONGER.
2. TO RECEPTION AND DIAGNOSTIC CENTERS WITH A CADRE OF INMATES WHO ARE EXPECTED TO SERVE MORE THAN 90 DAYS OF CONFINEMENT WITHIN THE FACILITY OR FOR THOSE SENTENCED INMATES AWAITING TRANSFER TO ANOTHER FACILITY WHOSE STAY EXCEEDS 90 DAYS.

FINDINGS:

ADC North Central Unit does not have an industries program.

Standard #5-ACI-7A-14 (4-4462)

PRIVATE INDUSTRIES ON THE INSTITUTION GROUNDS EMPLOYING INMATES IN POSITIONS NORMALLY FILLED BY PRIVATE CITIZENS PAY INMATES THE PREVAILING WAGE RATE FOR THE POSITION OCCUPIED.

INTERPRETATION JANUARY 2002. THIS STANDARD IS APPLICABLE TO ALL FACILITIES. FOR RECEPTION AND DIAGNOSTIC CENTERS, THE STANDARD ONLY APPLIES AS FOLLOWS:

1. TO RECEPTION AND DIAGNOSTIC CENTERS WITH AN AVERAGE INMATE LENGTH OF STAY OF 90 DAYS OR LONGER.

2. TO RECEPTION AND DIAGNOSTIC CENTERS WITH A CADRE OF INMATES WHO ARE EXPECTED TO SERVE MORE THAN 90 DAYS OF CONFINEMENT WITHIN THE FACILITY OR FOR THOSE SENTENCED INMATES AWAITING TRANSFER TO ANOTHER FACILITY WHOSE STAY EXCEEDS 90 DAYS.

FINDINGS:

ADC North Central Unit does not have private industries on grounds employing inmates.

Standard #5-ACI-7A-15 (4-4463)

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT INMATES EMPLOYED IN THE COMMUNITY BY PUBLIC OR PRIVATE ORGANIZATIONS IN POSITIONS NORMALLY OCCUPIED BY PRIVATE CITIZENS ARE COMPENSATED AT THE PREVAILING WAGE RATE FOR THE POSITION OCCUPIED. INMATES RECEIVING SUCH COMPENSATION REIMBURSE THE JURISDICTION FOR A REASONABLE SHARE OF ITS COST IN MAINTAINING THEM.

INTERPRETATION JANUARY 2002. THIS STANDARD IS APPLICABLE TO ALL FACILITIES. FOR RECEPTION AND DIAGNOSTIC CENTERS, THE STANDARD ONLY APPLIES AS FOLLOWS:

1. TO RECEPTION AND DIAGNOSTIC CENTERS WITH AN AVERAGE INMATE LENGTH OF STAY OF 90 DAYS OR LONGER.
2. TO RECEPTION AND DIAGNOSTIC CENTERS WITH A CADRE OF INMATES WHO ARE EXPECTED TO SERVE MORE THAN 90 DAYS OF CONFINEMENT WITHIN THE FACILITY OR FOR THOSE SENTENCED INMATES AWAITING TRANSFER TO ANOTHER FACILITY WHOSE STAY EXCEEDS 90 DAYS.

FINDINGS:

ADC North Central Unit policy does not allow for inmate employment in the community.

Significant Incident Summary

Facility Name: ADC – North Central Unit
 Reporting Period: April 2022 through March 2023

Incident Type	Months	April	May	June	July	August	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	March	Total for Reporting Period
	→													
Escapes		0	0	0	0	0	0	0	0	0	0	0	0	0
Disturbances*		0	0	0	0	0	0	0	0	0	0	0	0	0
Sexual Violence		0	0	0	0	0	0	0	0	0	0	0	0	0
Homicide*	Offender Victim	0	0	0	0	0	0	0	0	0	0	0	0	0
	Staff Victim	0	0	0	0	0	0	0	0	0	0	0	0	0
	Other Victim	0	0	0	0	0	0	0	0	0	0	0	0	0
Assaults	Offender/Offender	0	0	0	0	0	0	0	0	0	0	0	0	0
	Offender/Staff	0	0	0	0	0	0	0	0	0	0	0	0	0
Suicide		0	0	0	0	0	0	0	0	0	0	0	0	0
Non-Compliance with a Mandatory Standard*		0	0	0	0	0	0	0	0	0	0	0	0	0
Fire*		0	0	0	0	0	0	0	0	0	0	0	0	0
Natural Disaster*		0	0	0	0	0	0	0	0	0	0	0	0	0
Unnatural Death		0	0	0	0	0	0	0	0	0	0	0	0	0
Other*		0	0	0	0	0	1	0	0	0	0	0	0	1

**May require reporting to ACA using the Critical Incident Report as soon as possible within the context of the incident itself.*



**American Correctional Association: Adult Correctional Institutions Accreditation Manual - 5th Edition
Outcome Measures**

Performance Standards		North Central Unit Outcome Measures	Year 3 Numerator	Year 3 Denominator	Accreditation Cycle Year 3 April 1, 2022, thru March 31, 2023
1. Administration & Management: Administer and manage the facility in a professional and responsible manner, consistent with statutory requirements.					
1A: Facility Administration - The facility is administered efficiently and responsibly. Performance is based on goals, objective, and standard operating procedures and a system of regular review.	1A-1	Number of Plans of Action completed in the past 12 months divided by the number of Plans of Action approved by the Commission on Accreditation.	0	0	0
	1A-2	Number of expected practices in compliance divided by the number of applicable expected practices.	505	505	100
1B: Fiscal Management - The facility utilizes appropriate fiscal planning, budgeting, and accounting procedures and provides for a system of regular review.		<i>Compliance verified through expected practice files. No outcome measure required.</i>			
1C: Personnel - The facility promotes diversity and competency through employee staffing, recruitment, promotions, benefit allocations and performance reviews.	1C-1	The average offender population in the past 12 months divided by the average number of filled full time positions in the past 12 months.	828	182	4.549
	1C-2	The number of staff who left employment for any reason in the past 12 months divided by the average number of filled full time positions in the past 12 months.	34	182	.186

	1C-3	The number of verified employee violations in the past 12 months divided by the average number of filled full time staff positions in the past 12 months.	10	182	.054
	1C-4	The number of staff terminated for conduct violations in the past 12 months divided by the average number of filled full time staff positions in the past 12 months.	0	182	0
	1C-5	The number of performance reviews rated acceptable or higher in the past 12 months divided by the total number of performance reviews conducted in the past 12 months.	153	169	.905
	1C-6	Average number of security staff positions filled per month for the past 12 months divided by the total number of authorized security positions.	3.25	173	.018
	1C-7	Number of security staff who left employment in the past 12 months divided by the total number of authorized security positions.	31	173	.179
1D: Training & Development - The facility conducts pre-service, in-service, and specialized development programs to promote the effectiveness of staff, volunteers and other effected parties.		<i>Compliance verified through expected practice files. No outcome measure required.</i>			

1E: Case Records - The facility promotes appropriate security, access control, and other measures designed to assure the integrity of records entrusted to it's care.		<i>Compliance verified through expected practice files. No outcome measure required.</i>			
1F: Information Systems & Research - Effective systems of data and information storage and retrieval are vital for the maintenance of operational effectiveness and research capability.		<i>Compliance verified through expected practice files. No outcome measure required.</i>			
1G: Citizen Involvement & Volunteers - The facility is a responsible member of the community, supporting citizen involvement and volunteer initiatives as well as other community interaction.	1G-1	The total number of hours of volunteer service delivered by members of the community in the past 12 months divided by the average daily population in the past 12 months.	6,585	828	7.95
	1G-2	The total number of hours of community service work delivered by offenders in the past 12 months divided by 12.	64,376	12	5,364.66
2. Physical Plant: The facilities' physical plant is designed, equipped and maintained in a manner that promotes safety, program function and access.					
2A: Building and Safety Codes - The facility complies with professional building and fire safety codes to help ensure the safety of all persons within the facility.	2A-1	Number of worker's compensation claims filed in the past 12 months divided by the average number of filled full-time staff positions in the past 12 months.	11	182	.060
	2A-2	Number of sanitation or health-code violations identified by external agencies in the past 12 months.			2
	2A-3	The number of fire and safe code violations identified by the governing jurisdiction in the past 12 months.			1

<p>2B: Size & Organization - Facility capacity and functional design promote safety, an acceptable quality of life and operational innovation.</p>	<p>2B-1</p>	<p>Number of days facility population exceeded the operational capacity in the past 12 months divided by 365.</p>	<p>365</p>	<p>365</p>	<p>1</p>
<p>2C: Inmate Housing - Inmate housing areas are the foundation of institutional living and promote the safety and well-being of both inmates and staff</p>		<p><i>Compliance verified through expected practice files. No outcome measure required.</i></p>			
<p>2D: Environmental Conditions - Environmental conditions significantly influence the overall effectiveness of institutional operations. Lighting, air quality, temperature and noise levels are designed to preserve the health and well being of inmates and staff members.</p>		<p><i>Compliance verified through expected practice files. No outcome measure required.</i></p>			
<p>2E: Program and Service Areas - Adequate space is provided for the various program and service functions conducted within the institution. Spatial requirements reflect functional need.</p>		<p><i>Compliance verified through expected practice files. No outcome measure required.</i></p>			
<p>2F: Administrative and Staff Areas - All levels of staff are provided with adequate space to carry out their responsibilities safely and effectively.</p>		<p><i>Compliance verified through expected practice files. No outcome measure required.</i></p>			
<p>2G: Security - The physical plan supports the orderly and secure functioning of the institution.</p>		<p><i>Compliance verified through expected practice files. No outcome measure required.</i></p>			
<p>3. Institutional Operations: The facility protects the community, the staff, the offenders, and others from harm while maintaining an orderly environment with clear expectations of behavior and systems of accountability.</p>					

3A: Security and Control - The facility utilizes a combination of supervision, inspection, accountability, and measured force response to promote safe and orderly operations.	3A-1	The number of incidents in which force, as defined by the agency, was used in the past 12 months divided by the average daily population in the past 12 months.	50	828	.060
	3A-2	Number of seizures of contraband, as defined by the agency, in the past 12 months divided by the average daily population in the past 12 months.	15	828	.018
	3A-3	The number of escapes, as defined in the Significant Incident Summary, in the past 12 months divided by the average daily population in the past 12 months.	0	828	0
	3A-4	The number of disturbances, as defined in the Significant Incident Summary, in the past 12 months divided by the average daily population in the past 12 months.	0	828	0
	3A-5	The number of acts of sexual violence, as defined in the Significant Incident Summary, in the past 12 months divided by the average daily population in the past 12 months.	0	828	0
	3A-6	The number of homicides as defined in the Significant Incident Summary, in the past 12 months, divided by the average daily population in the past 12 months.	0	828	0

<p>3B: Safety & Emergency Procedures - The number and extent of security, physical plant, environmental and other emergencies are minimized. When they occur, the response mechanism minimizes severity.</p>		<p><i>Compliance verified through expected practice files. No outcome measure required.</i></p>			
<p>3C: Rules & Discipline - The institution's rules of conduct and sanctions and procedures for violations are communicated to all inmates and staff. The disciplinary process respects due process.</p>	<p>3C-1</p>	<p>The total number of major disciplinary reports, as defined by the agency, in the past 12 months divided by the average daily population in the past 12 months.</p>	<p>1,129</p>	<p>828</p>	<p>1.363</p>
	<p>3C-2</p>	<p>The total number of minor disciplinary reports, as defined by the agency, in the past 12 months divided by the average daily population in the past 12 months.</p>	<p>685</p>	<p>828</p>	<p>.827</p>
	<p>3C-3</p>	<p>Number of offender on offender assaults, as defined in the SIS form, in the past 12 months divided by the average daily population in the past 12 months.</p>	<p>0</p>	<p>828</p>	<p>0</p>
	<p>3C-4</p>	<p>Number of offender on staff assaults, as defined in the SIS form, in the past 12 months divided by the average daily population in the past 12 months.</p>	<p>0</p>	<p>828</p>	<p>0</p>
<p>3D: Inmate Rights - The facility protects the constitutional rights of offenders and seeks a balance between the expression of protected liberties and the preservation of institutional order.</p>	<p>3D-1</p>	<p>Number of grievances found in an inmate's favor divided by the number of grievances filed in the 12 month period.</p>	<p>20</p>	<p>519</p>	<p>.038</p>

4. Special Management Housing & Restrictive Housing: In general, inmates who threaten the secure and orderly management of the institution, posing a threat to others or a danger to themselves, are removed from the general population and placed in designated units. Such assignments are made appropriately and justifiably, and offenders placed into such categories are treated justly, humanely, in a constitutionally correct manner and prepared for return to less restrictive units.

<p>4A: Special Management - Inmates who pose a threat are separated from general population and placed in a cell in a special management unit; (defined as segregation and including administrative segregation, protective custody or disciplinary detention); for periods of time less than 22 hours per day.</p>	<p>4A-1</p>	<p>Average number of offenders in Special Management Housing per month over the past 12 months divided by the average daily population in the past 12 months.</p>	<p>N/A</p>	<p>N/A</p>	<p>N/A</p>
<p>4B: Restrictive Housing - Inmates who pose a direct and clear threat to the safety of persons or a clear threat to the safe and secure operation of the facility are separated from general population and placed in restrictive housing units / cells for periods of time 22 hours per day or greater.</p>	<p>4B-1</p>	<p>Average number of offenders in Restricted Housing per month over the past 12 months divided by the average daily population in the past 12 months.</p>	<p>33</p>	<p>828</p>	<p>.04</p>
	<p>4B-2</p>	<p>Average number of offenders in Extended Restricted Housing per month over the past 12 months divided by the average daily population in the past 12 months.</p>	<p>12</p>	<p>828</p>	<p>.014</p>
	<p>4B-3</p>	<p>Number of offenders released from Restrictive Housing by the appropriate authority within 24-hours in the past 12 months divided by the total placed in Restrictive Housing in the past 12 months.</p>	<p>59</p>	<p>403</p>	<p>.146</p>

	4B-4	Number of offenders in Extended Restrictive Housing that were released directly into the community from either Restrictive Housing or Extended Restrictive Housing within the past 12 months divided by the total number of offenders released in the past 12 months.	1	262	.004
5. Institutional Services: Internal assignment to housing and program services should meet the basic needs of the offender consistent with the safe operation of the facility and should prepare the offender for successful reintegration into society upon release as appropriate.					
5A: Reception & Orientation - All incoming inmates undergo thorough screening and assessment at admission and receive a thorough orientation to the institution's procedures, rules, programs, and services.		<i>Compliance verified through expected practice files. No outcome measure required.</i>			
5B: Classification - Inmates are classified to the most appropriate level of custody and programming both on admission and upon review of their status.		<i>Compliance verified through expected practice files. No outcome measure required.</i>			
5C: Food Service - Meals are nutritionally balanced, well-planned, and prepared and served in a manner that meets established governmental health and safety codes.		<i>Compliance verified through expected practice files. No outcome measure required.</i>			
5D: Sanitation and Hygiene - The institution's sanitation and hygiene program protects the health and safety of staff and offenders.		<i>Compliance verified through expected practice files. No outcome measure required.</i>			

5E: Social Services - Professional services including individual and family counseling, family planning and parent education; and programs for inmates with drug and alcohol addiction problems, meet the needs of identified inmates.	5E-1	Where a substance use disorder treatment program exists, the number of treatment slot available divided by the average daily population in the past 12 months.	N/A	N/A	N/A
	5E-2	Where a substance use disorder treatment program exists, the number of completers of the program divided by the average daily population in the past 12 months.	N/A	N/A	N/A
	5E-3	Where a sex offender treatment/management program exists, the number of program slot divided by the average daily population in the past 12 months.	N/A	N/A	N/A
	5E-4	Where a sex offender treatment/management program exists, the number of completers divided by the average daily population in the past 12 months.	N/A	N/A	N/A
5F: Reentry - The institution provides a structured program to help inmates make a satisfactory transition upon their release from incarceration.	5F-1	The number of offenders who have completed their re-entry plan, as defined by the agency, in the past 12 months divided by the number of inmates released in the past 12 months.	111	262	.423
6. Healthcare: Each offender receives appropriate physical and behavioral health care necessary to foster the restoration and maintenance of acceptable levels of wellness.					
6 A: Access to Services Offenders have unimpeded access to a continuum of health care services so that their health care needs, including prevention and health education, are met in a timely and efficient manner.	6A-1	Number of offenders diagnosed with a MRSA infection within the past twelve (12) months divided by the average daily population	1	828	.001

	6A-2	Number of offenders diagnosed with active tuberculosis in the past twelve (12) months divided by the average daily population.	0	828	0
	6A-3	Number of offenders who are new converters on a TB test that indicates newly acquired TB infection in the past twelve (12) months divided by the Number of offenders administered tests for TB infection in the past twelve (12) months as part of periodic or clinically-based testing, but not intake screening.	0	0	0
	6A-4	Number of offenders who completed treatment for latent tuberculosis infection in the past twelve (12) months divided by number of offenders treated for latent tuberculosis infection in the past twelve (12) months.	22	43	.511
	6A-5	Number of offenders diagnosed with Hepatitis C viral infection at a given point in time divided by Total offender population at that time.	90	828	.108
	6A-6	Number of offenders diagnosed with HIV infection at a given point in time divided by Total offender population at that time.	4	828	.004

	6A-7	Number of offenders with HIV infection who are being treated with highly active antiretroviral treatment (HAART) at a given point in time divided by Total number of offenders diagnosed with HIV infection at that time.	5	1	5
	6A-8	Number of selected offenders with HIV infection at a given point in time who have been on antiretroviral therapy for at least six months with a viral load of less than 50 cps/ml total number of treated offenders with HIV infection that were reviewed	5	5	1
	6A-9	Number of offenders with an active individualized services/treatment plan for a diagnosed mental disorder (excluding sole diagnosis of substance abuse) at a given point in time divided by the total offender population at that time.	98	828	.118
	6A-10	Number of offender admissions to off-site hospitals in the past twelve (12) months divided by average daily population	10	828	.012
	6A-11	Number of offenders transported off-site for treatment of emergency health conditions in the past twelve (12) months divided by the average daily population in the past 12 months.	23	828	.027

	6A-12	Number of offender specialty consults completed during the past twelve (12) months divided by Number of specialty consults (on-site or off-site) ordered by primary health care practitioners in the past twelve (12) months.	751	398	1.886
	6A-13	Number of selected hypertensive offenders at a given point in time with a B/P reading > 140 mmHg/>90 mm Hg divided by total number of offenders with hypertension who were reviewed.	1	16	.062
	6A-14	Number of selected diabetic offenders at a given point in time who are under treatment for at least six months with a hemoglobin A1C level measuring greater than 9 percent divided by Total number of diabetic offenders who were reviewed.	8	22	.363
	6A-15	The number of completed dental treatment plans within the past twelve (12) months divided by the average daily population during the reporting period.	6	828	.007
6 B. Staff Training The provision of health services should be done in a professionally acceptable manner including the requirement that all staff be adequately trained and qualified and can demonstrate competency in their assigned duties.	6B-1	Number of health care <i>staff</i> with lapsed licensure or certification during a twelve (12) month period divided by Number of licensed or certified staff during a twelve (12) month period.	0	0	0

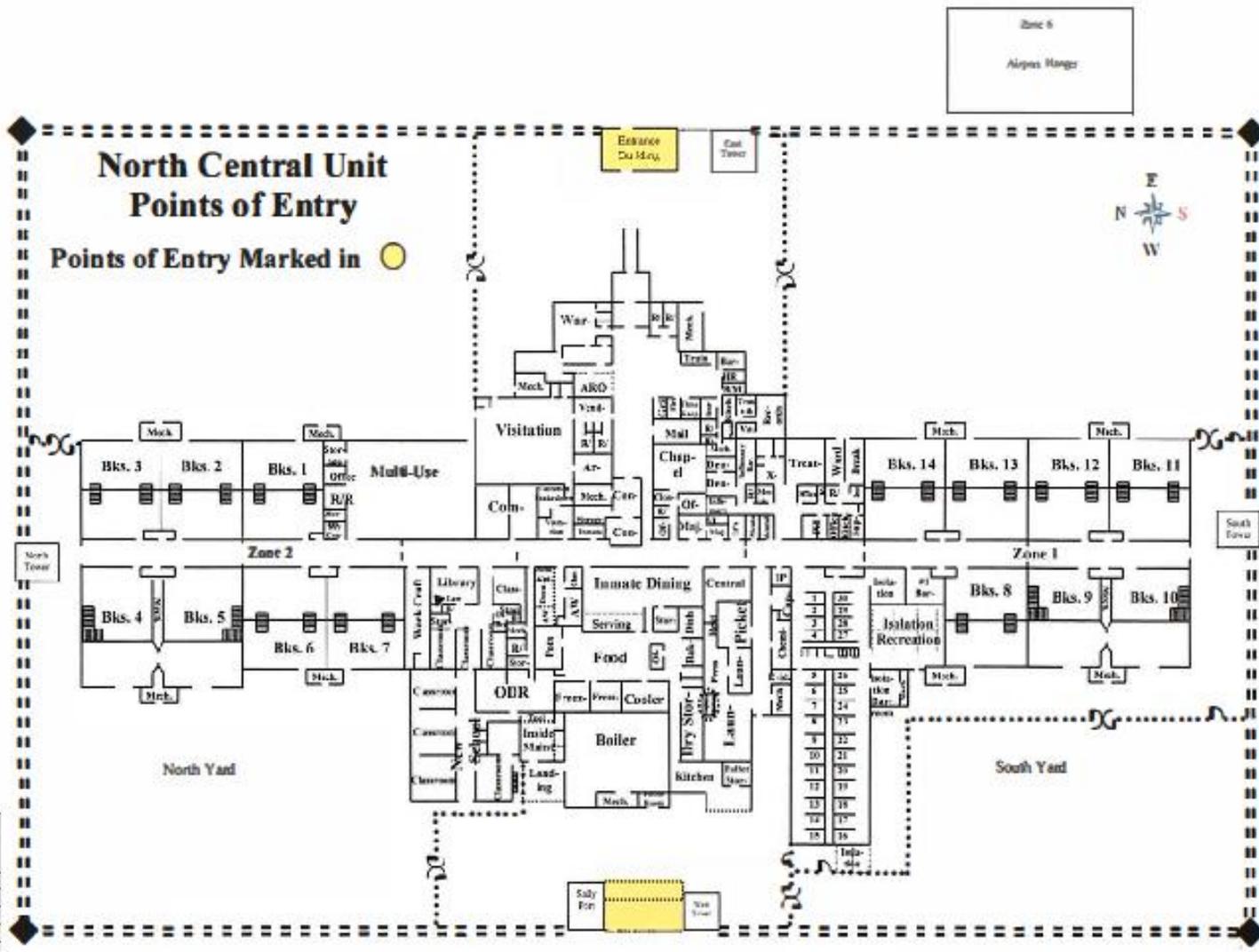
	6B-2	Number of new health care staff during a twelve (12) month period that completed orientation training prior to undertaking their job divided by Number of new health care staff during the twelve (12) month period.	17	17	1
	6B-3	Number of occupational exposures to blood or other potentially infectious materials in the past twelve (12) months divided by the number of employees.	0	0	0
	6B-4	Number of direct care staff (employees and contractors) with a conversion of a TB test that indicates newly acquired TB infection in the past twelve (12) months divided by the number of direct care staff tested for TB infection in the past twelve (12) months during periodic or clinically indicated evaluations.	0	30	0
6 C. Offender Treatment Offenders are treated humanely, fairly, and in accordance with established policy and all applicable laws.	6C-1	Number of offender grievances related to health care services found in favor of the offender in the past twelve (12) months divided by number of evaluated offender grievances related to health care services in the past twelve (12) months.	4	73	.054

	6C-2	Number of offender grievances related to safety or sanitation sustained during a twelve (12) month period divided by the number of evaluated offender grievances related to safety or sanitation during a twelve (12) month period.	0	0	0
	6C-3	Number of adjudicated offender lawsuits related to the delivery of health care found in favor of the offender in the past twelve (12) months divided by Number of offender adjudicated lawsuits related to healthcare delivery in the past twelve (12) months	0	0	0
6 D. - Performance Improvement Health care services are evaluated and continually improved	6D-1	Number of problems identified by quality assurance program that were corrected during a twelve (12) month period divided by the number of problems identified by quality assurance program during a twelve (12) month period.	2	1	2
	6D-2	Number of high-risk events or adverse outcomes identified by the quality assurance program during a twelve (12) month period.	0	0	0
	6D-3	Number of offender suicide attempts in the past twelve (12) months divided by average daily population	0	0	0
	6D-4	Number of offender suicides in the past twelve (12) divided by average daily population.	0	0	0

	6D-5	Number of unexpected natural deaths in the past twelve (12) months divided by Total number of deaths in the same reporting period.	0	0	0
	6D-6	Number of serious medication errors in the past twelve (12) months	0	0	0
6 E. - Safety, Sanitation and Offender Hygiene The facility or program is safe and sanitary. Appropriate services and supplies are provided to promote the maintenance of acceptable levels of offender hygiene.		<i>Compliance verified through expected practice files. No outcome measure required.</i>			
7. Inmate Programs: The institution's programs for inmates provide meaningful work, educational, and recreational programs designed to facilitate a stable institutional environment and the inmate's subsequent reentry into the community.					
7A: Work and Correctional Industries - Work and correctional industries programs incorporate work conditions that reflect jobs in equivalent work categories outside of the institution.	7A-1	The number of academic/vocational educational program slots available in the past 12 months divided by the average daily population in the past 12 months.	N/A	N/A	N/A
	7A-2	The average number of offenders with full time work/program assignments in the past 12 months divided by the average number of offenders eligible for work assignment in the past 12 months.	741	741	1
7B: Academic and Vocational Education - The facilities academic and vocational education programs improve the	7B-1	The number of academic/vocational slots available divided by the average daily population in the past 12 months.	192	828	.231

<p>educational levels of assigned offenders and participate in program accreditation, promote staff professional certification, incorporate community resources and participate in internal and external peer review.</p>	<p>7B-2</p>	<p>The number of offenders who passed the General Equivalency Diploma (HI Set) exams while confined in the past 12 months divided by the average daily population in the last 12 months.</p>	<p>49</p>	<p>192</p>	<p>.255</p>
	<p>7B-3</p>	<p>The number of academic/vocational competency certificates issued in the past 12 months divided by the number of program slots available in the past 12 months.</p>	<p>11</p>	<p>15</p>	<p>.733</p>
<p>7C: Recreation and Activities: Recreation and similar leisure activities are provided to reduce idleness, provide opportunities for skill acquisition, promote healthy activities and foster positive group interaction</p>		<p><i>Compliance verified through expected practice files. No outcome measure required.</i></p>			
<p>7D: Mail, Telephone & Visiting - The maintenance of family and community ties through the provision of comprehensive mail, telephone and visiting services is critical to stable institutional adjustment and improves opportunities for successful reintegration.</p>		<p><i>Compliance verified through expected practice files. No outcome measure required.</i></p>			
<p>7E: Library - The facility should provide library services that support educational programs, promote reading skills, enhance leisure activities and maintain awareness of circumstances in the community at large.</p>		<p><i>Compliance verified through expected practice files. No outcome measure required.</i></p>			

7F: Religious Programs - The offender population should have the opportunity to participate in the practices of their faith group as enhanced through the supplemental contributions of community resources.	7F-1	The number of regular participants as defined by the agency, in structured religious programming in the past 12 months divided by the average daily population in the past 12 months.	602	828	.727
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North Central Unit Points of Entry

Points of Entry Marked in ●

