Federal Funding Accountability and Transparency Act (FFATA)
Reporting Requirements

(For more information go to https://www.sam.gov/fsrs)

FFATA was signed on September 26, 2006 and later amended by the Digital Accountability and Transparency Act of 2014 and other Public Laws. The intent is to empower every American with the ability to hold the government accountable for each spending decision. The end result is to reduce wasteful spending in the government. The FFATA legislation requires information on federal awards (federal financial assistance and expenditures) be made available to the public via a single, searchable website, which is www.USASpending.gov.

As a Prime Grant Recipient, the Arkansas Department of Transportation (hereinafter called ARDOT), when administering a Federal award subject to FFATA and 2 CFR Part 170, Appendix A, **is required to report any first-tier subaward equal to or exceeding $30,000 in Federal funds.** As a subrecipient, _____ shall provide the following information to ARDOT in order to fulfill FFATA reporting requirements:

- ✔ A Unique Entity Identifier (UEI) of the subrecipient receiving the award and the parent entity of the recipient, should the subrecipient be owned by another entity;
- ✔ The names and total compensation of the five most highly compensated executives of the subrecipient **if** the subrecipient in the preceding fiscal year received 80 percent or more of its annual gross revenues in Federal procurement contracts (and subcontracts) and Federal financial assistance awards (and subawards); and $25,000,000 or more in annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance awards (and subawards); and the public does not have access to information about the compensation of the senior executives of the subrecipient through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986. See FFATA § 2(b)(1) and 2 CFR Part 170, Appendix A.

Required Subrecipient Information

(A) Subrecipient UEI: _____ UEI Expiration Date: _____

Parent (if applicable) UEI: _____ UEI Expiration Date: _____

(B) In the preceding completed fiscal year, did your business or organization (the legal entity associated with the UEI number entered above) (1) receive 80 percent or more of its annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance awards (and subawards); and (2) receive $25,000,000 or more in annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance awards (and subawards)?

☐ Yes ☐ No

If "Yes" is selected, answer (C).

(C) Does the public have access to information about the compensation of the executives in your business or organization (the legal entity associated with the UEI entered above) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?

☐ Yes ☐ No

If "Yes" is selected, visit http://www.sec.gov/edgar for examples.

If "No" is selected, answer (D).

(D) **If "Yes" was selected in Question (B) and "No" was selected in Question (C)**, provide the following information for the five (5) most highly compensated executives in your business or organization (the legal entity associated with the UEI entered above). Compensation amount should reflect the total compensation in the preceding completed fiscal year and be reported in whole U.S. dollars.

Subrecipient Names and Compensation for Most Highly Compensated Executives

1. Name: _____ Amount: $_____
2. Name: _____ Amount: $_____
3. Name: _____ Amount: $_____
4. Name: _____ Amount: $_____
5. Name: _____ Amount: $_____